

Faculty Senate Agenda
3:30 p.m., October 25, 2017
Faculty Club, Whitten University Center

Click [HERE](#) for a complete package of materials
<http://fs.miami.edu/assets/pdf/facultysenate/Documents/17-18-senate/3-Oct-17/complete-pkg.pdf>

(If you have trouble opening the link within the agenda item, paste the address below each item into your browser to access.)

A.	<u>Introductory Matters</u>	Approx. Time	Action needed
A1.	Faculty Senate Chair Tomás Salerno Remarks	3:30	No vote
A2.	Provost Jeffrey Duerk Remarks	3:45	No vote
A3.	Student Government representatives remarks	3:55	No vote
A4.	Approval of proposed Faculty Senate Meeting minutes of August 30, 2017 http://fs.miami.edu/assets/pdf/facultysenate/Documents/17-18-senate/3-Oct-17/minutes-August.pdf [GWC – Unanimously approved.]	4:00	Vote
A5.	Approval of today's agenda	4:05	Vote
A6.	Other announcements	4:10	No vote
B.	<u>General Matters</u>		
B1.	<u>Unanimous Consent Agenda</u> [unless there is an objection, these materials will be submitted and approved on documents only]	4:15	
	1. Proposal to Change the Name of the Graduate Program in Physiology and Biophysics TO Graduate Program in Cellular Physiology and Molecular Biophysics – H. Peter Larsson (MSOM, Graduate Program Director) http://fs.miami.edu/assets/pdf/facultysenate/Documents/17-18-senate/3-Oct-17/MSOM-notice-program-name-change.pdf [GWC – had no objections.]		Objections Only
B2.	Annual Benchmark Report on Admissions – Jeffrey Duerk (Provost)	4:20	No vote
B3.	Proposal to Change the Purpose and the Name from the Deloitte International Financial Reporting Institute (IFRI) TO the Deloitte Institute for Research and Practice of Analytics (DIRPA) (Originally established through FS Legislation #2011-29(B)) – Anuj Mehrotra (Sr. Vice Dean and Vice Dean of Faculty Development & Research) http://fs.miami.edu/assets/pdf/facultysenate/Documents/17-18-senate/3-Oct-17/BusAd-namechange-Instittue.pdf [GWC – Unanimously approved.]	4:30	Vote
B4.	Proposal to Simplify the Name of the School of Business Administration TO the Business School – John Quelch (Dean, School of Business Administration) http://fs.miami.edu/assets/pdf/facultysenate/Documents/17-18-senate/3-Oct-17/Bus-sch-name-change.pdf [GWC –approved by majority, and recommended changes were subsequently made to the documents.]	4:40	Vote

B5.	Faculty Senate Academic Standards Committee Undergraduate Admissions Report – Kysha Harriell (Past Committee Chair) http://fs.miami.edu/assets/pdf/facultysenate/Documents/17-18-senate/3-Oct-17/Acad-stand-admissions-report.pdf [GWC – Unanimously approved to accept the report.]	4:50	Vote
B6.	Only Regular members or Alternate members who are replacing a Regular member may participate in this vote. <ul style="list-style-type: none"> • General Welfare Committee regular and alternate representative from the Frost School of Music Ballots distributed for the election of proposed members for the: <ul style="list-style-type: none"> • Committee on Professional Conduct http://fs.miami.edu/assets/pdf/facultysenate/Documents/Committees/pc.pdf • Hearing Panel http://fs.miami.edu/assets/pdf/facultysenate/Documents/Committees/hplist.pdf 	5:05	Vote
C.	<u>Other Business</u>	5:10	No vote
D.	<u>Adjournment</u>	5:15	Vote

Reminder:

- Wednesday, **November 1**, the President hosts the meeting, Faculty Club, **Gables campus**.
 (There is no set agenda for this meeting, but it an opportunity for faculty to ask questions directly to him.)
- Wednesday, **November 29**, Faculty Senate business meeting, **Mailman Center, Miller School of Medicine**.

Item A4



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August 30, 2017 Proposed Faculty Senate Minutes

The meeting, held in the Faculty Club of the Whitten University Center, Gables Campus, opened at 3:30 p.m.

CHAIR'S REMARKS

Senate Chair Tomás Salerno welcomed attendees back to a new academic year and noted that he is ready for a new, productive year. The Chair recognized meeting attendees including Board of Trustees members, the President, Provost, Chief Operating Officer, Senior VP & CEO of UHealth, deans, other administrators, Faculty Senate members, and faculty members. The Chair pointed out that there had been many changes in the administration this year and explained that some of the new leadership will be introduced at this meeting.

A new form was created this summer to be used for all proposals in an attempt to standardize submissions, making it easier for proponents to understand required materials, and for Senate members to verify that all requirements have been met. Additionally, the Senate office staff developed a new website that includes more information and is easier to access. He asked that members send suggestions to me.

The Chair outlined that he has appointed new members for the standing committees and reminded attendees that the work of the Senate begins with these committees. The General Welfare Committee (GWC) had no objections to extending the committee chair terms for chairs of the Equity and Inclusion (Shouraseni Sen Roy), and Facilities and Planning (Glen Wiley) Committees.

He explained that both the REGULAR FACULTY and the Board of Trustees approved Legislation #2016-59(A) that limits how often faculty can request a review of their tenure or promotion.

Congratulations were extended to School of Business Administration Professor Chester Schriesheim, Department of Management, as the recipient of the 2017 Distinguished Career Award. This award, given by the Research Methods Division of the Academy of Management, recognizes distinguished scholars who have made significant contributions to the advancement of research methodology.

A moment of silence was held in recognition of the passing of William Sandler, Jr., much beloved former Dean of Students.

The Chair pointed out that Legislation #2016-33(B) – “Establishment of a Master of Science (M.S.) degree in Skin Biology and Dermatological Sciences (S.B.D.S.), Miller School of Medicine, Department of Dermatology and Cutaneous Surgery” will be offered partially online, that is, as a hybrid. Since this information was not included as part of the proposal, it was

requested that the proponent send the Senate office a list of classes and the modality. This was done, and the list is in our office. There were no objections from the GWC.

In Legislation #2016-52(B) – “Modify the Current Graduate School Policies Regarding Online Transfer of Credit Policy,” there was an error in the documents that were submitted to the GWC and Faculty Senate. Provost LeBlanc deemed the sentence “Online-earned credits may be accepted” unnecessary, therefore it was removed from the legislation sent to the President. It was important to finalize this immediately, so that the policies could be implemented in the fall. This was not considered substantive by the Senate leadership, so it was removed and the legislation was forwarded to the President without the sentence. However, this NOTICE of the change is given to members. There were no objections from the GWC.

The Chair asked if there were any objections to moving the Board of Trustees Chair Remarks until after the introductions. There were no objections.

PRESIDENT’S REMARKS

President Julio Frenk noted that it was great to be back for a new year and explained that recruiting many new leaders occupied much of his summer. He communicated his annual welcome message this year via video, a new format that students may find more appealing.

The President noted that UM is a “magnet for talent” and was pleased with the newly recruited leadership team, and impressed with the caliber of candidates for those positions. Among the newly appointed candidates, in attendance at today’s meeting were Executive VP and Provost Jeffrey L. Duerk, COO Jackie Travisano (in a newly restructured position, who will recruit a CFO who will report to her), Business Administration Dean John Quelch, Miller School of Medicine Dean Edward Abraham, Nursing and Health Studies Dean Cindy Munro, and Chief Compliance Officer of Health Affairs A. Barry Grosse. Additionally, Maria de Lourdes Dieck-Assad was appointed to a newly created role as vice president for Hemispheric and Global Affairs, and Ryan Holmes, was appointed as the Dean of Students. The President also introduced Marilyn Holifield, as the chair of the Board of Trustees Academic Affairs Committee.

The “cruise ship” approach was adopted for students’ move-in day, which received great reviews from parents and students. Although the final Benchmark numbers will be released in October, the preliminary reports show that through a targeted approach, the admission rate was successfully decreased and the yield rate increased. Of the 2178 new freshmen students, the diversity rate has continued to increase, representing 53 countries, 45 different states, the District of Columbia, and two US territories. There are 10,600 undergraduate degree seeking students, and 6000 graduate students. Of the 140 new faculty members, 74 are tenure/tenure-earning (more than 90 from the Miller School of Medicine).

There was intentional deceleration of the implementation of the Strategic Plan (Roadmap to the New Century) to give an opportunity for the new leaders to influence the new plan. Leaders will meet with the Board of Trustees at their retreat on September 15 to discuss the Strategic Plan, with the goal of presenting the plan for formal approval by the Board of Trustees’ February meeting. He plans to report back to the Senate on the plan, and would like to dedicate a session specifically for this purpose. The plan will inform the strategy for the next capitol campaign, launching the public phase in the fall of 2018.

The President explained that he is available to discuss the article in *Inside Higher Education*, and wanted to review the recent developments at the Institute for Cuban and Cuban American Studies. After initial listening exercises, where he met with many different groups including faculty, leaders, students, and various sub-groups of the Cuban exile community, there was consensus on the following messages 1) we should engage (there were different viewpoints of how to engage), 2) in engaging, we should uphold the principles of academic freedom, and 3) we needed to strengthen Cuban Studies at UM and with other Universities. During this time, the director of the Institute made the decision to retire. There were some media outlets that reported different events that were not true. Members of the exile community were anxious to know the circumstances, and asked to meet with the President. As is his policy, the President met with whomever requested a meeting, and met with them in what resulted in a very constructive meeting. It was clarified that the search for the new director is an academic process: done by academic members of the faculty, sometimes including students, regulated by our legislation, and led by the Provost. As in any search process, the administration is open to comments and suggestions.

The other point he would like to clarify is in regards to the statement made that UM does not engage in institutional agreements, as in a formal memo of understanding, with the Cuban government. He stated that there is no restriction or interference on any projects that faculty members at UM are collaborating on with their Cuban colleagues. His overriding value is academic freedom, and he will not violate that. It was also clear that he, as President, should not be signing agreements at the institutional-level with universities where the basic value of academic freedom is not respected. He explained to *Inside Higher Education*, that we value our colleagues in Cuba, and welcomes them to collaborate at UM, faculty can travel according to U.S. policy, and we will continue to facilitate the exchange of students and faculty in both directions.

He noted that at some point, there can be a broader discussion on the meaning of academic freedom. Moving forward for this Institute, the focus should be to continue what is being done, search for an outstanding scholar to serve as the director, and on the strong recommendation to strengthen our academic programs.

INTRODUCTION OF NEWLY APPOINTED COO JACKIE TRAVISANO

The Chair explained that his meetings with the COO have shown that she is accessible, open and innovative, and that he is very confident in her ability to assume this newly restructured role. Dr. Travisano noted that being at UM was the pinnacle of her career, and that she is looking forward to working with the Faculty Senate. The Chair explained that we will "have a chair" for her at our meetings.

INTRODUCTION OF NEWLY APPOINTED PROVOST JEFFREY L. DUERK

The Provost reminisced that his first introduction to UM was through former dean of the College of Engineering James Tien some years ago. He also noted his excitement with the new leadership team, emphasizing that the faculty are represented through their deans, and this new leadership influenced his decision to join UM. He also noted that he looks forward to working with the Faculty Senate.

INTRODUCTION OF NEWLY APPOINTED BUSINESS ADMINISTRATION DEAN JOHN QUELCH

The Dean expressed his delight to be at UM, located in a global city, and noted the School of Business Administration's commitment to fulfill their role in the Roadmap Initiative.

INTRODUCTION OF NEWLY APPOINTED MILLER SCHOOL OF MEDICINE DEAN EDWARD ABRAHAM

The Chair explained that the Dean has had many outreach meetings and the two of them have met many times since the Dean arrived at UM. The Dean outlined that he has done so in his desire to "hear the faculty voice," noting that this is a team exercise to help to move the School forward. He plans to take advantage of the organic synergies between the three main campuses and is anxious to join UM.

INTRODUCTION OF NEWLY APPOINTED NURSING AND HEALTH STUDIES DEAN CINDY MUNRO

The Chair noted the very impressive CV of this dean and her many accomplishments. The Dean stated her pleasure to be at UM. She noted that the School of Nursing and Health Studies is a "gem" in a spectacular institution. She pointed out that the School is number one in NIH grants in Florida and number 22 in the nation.

INTRODUCTION OF NEWLY APPOINTED CHIEF COMPLIANCE OFFICER OF HEALTH AFFAIRS A. BARRY GROSSE

The CCO acknowledged the great opportunity for his role at UM, citing the diverse activities at MSOM from a compliance perspective, and its many challenges, which makes it exciting for him.

Board of Trustees Academic Affairs Committee Chair Marilyn Holifield expressed her pleasure at attending the meeting, and welcomed the new academic leadership.

BOARD OF TRUSTEES CHAIR REMARKS

Board of Trustees Chair Richard Fain expressed his appreciation for the invitation to attend today's meeting. He pointed out that each of the newly appointed leaders introduced today expressed their excitement at being at UM. This struck him as an indication of the level of excitement that UM generates, which he found very encouraging. During the various searches for new leadership, the search firms explained that UM is perceived in academia to be on an upward trajectory, which produced a very high caliber of candidates. Additionally, candidates were attracted by the caliber of President Frenk, and the Board Chair noted his pride to be part of this upward trend.

Mr. Fain acknowledged that there have been a lot of change at UM, pointing out that change can produce both turmoil and progress, resulting in synergies that can propel the university upward. He pointed out that making strategic, well-managed changes, as in the "cruise ship load in" for incoming residential students (of which, he pointed out that he was not involved), is a change in *process* that produced better outcomes, and resulted in greater satisfaction for those involved. Those involved had worked diligently in the past, were very dedicated, but by changing the *process*, rather than the *amount of work*, the results varied significantly. Change is created by people, and often minor changes can have major impacts.

The Board of Trustees is excited for the prospects of the university's future, and they are appreciative of the effort it takes every day to do what is needed. He noted that progress is not

easy, and he was encouraged by those attending this meeting, who are demonstrating their commitment to moving the university forward.

STUDENT GOVERNMENT REPRESENTATIVES' REMARKS

The Student Government representatives introduced themselves. They noted their excitement for the new initiative and welcomed the start of football season.

APPROVAL OF TODAY'S AGENDA

A motion was made, seconded, and the agenda was approved unanimously as amended (as noted above).

OTHER ANNOUNCEMENTS

A faculty member from RSMAS thanked the President for his investment in climate change during his first year at UM. He noted that UM has a history of investment in climate change, explaining that Dr. Shalala had been instrumental in recruiting republicans to join the climate change initiative.

A faculty member from the Miller School of Medicine urged the President to have "100 days of dialogue" as a follow up to the "100 days of listening" exercise. He also requested that at least 30% of Faculty Senate meetings be held on the Miller Medical School Campus.

A faculty member from the Theatre Department pointed out the accomplishment that the Theatre Department is ranked 12th in representation on Broadway.

The Chair expressed his great appreciation for the generous donation of Engineering faculty member Daniel Berg to the chair of the Faculty Senate to be used at his discretion. A round of applause followed Dr. Berg's statement that this was a great organization and worthy of the donation.¹

The Chair also thank Athletics Director Blake James and his team for the donation of the polo shirts for Senate members.

APPROVAL OF MINUTES OF APRIL 19, APRIL 26 AND MAY 10, 2017

A motion was made, seconded, and the minutes were approved unanimously.

General Matters

UNANIMOUS CONSENT AGENDA

The following two motions came forward with the recommendation of the GWC.

- 1) Disestablish the University of Miami Global Academy (Online High School) – Rebecca Fox (Dean, DCIE) (The Academy closed in June 2017. There are no documents for this item.)
- 2) #Proposal for the Establishment of an Independent Minor, College of Arts and Sciences – Leonidas Bachas (Dean), Maria Stampino (Sr. Assoc. Dean)

There were no objections to the approval of these two items.

¹ Following the meeting, Dr. Berg clarified that the gift was in honor of Dr. Salerno "and deservedly so."

UPDATE ON ENDOWED PROFESSORSHIPS

Vice Provost for Faculty Affairs David Birnbach outlined the 1) 100 Talents Initiative and 2) the Endowed Chair and Professorships. The 100 Talents Initiative's purpose is to enhance the faculty community with 100 newly endowed chairs by 2025, across all disciplines, while also attracting new programs to attract visiting scholars and practitioners from around the world. About 20 of these may be Presidential Fellows and Presidential Scholars, including the four who visited last year. This aligns with the other Roadmap Initiatives, enriches the learning experiences for both students and faculty, and enhances the reputation of the university. Deans gather nominations and submit them to the review committee, who review the applications and submit a list to the Provost and President.

The process for naming Endowed Professorships slightly varies by school or college, but all are approved by the Provost and President following confirmation of receipt of funding and a formal contract. Currently there are 162 Endowed Chairs and Professors at UM. 74% are at the Miller School of Medicine, funded mainly through grateful patients. The current preliminary fundraising goal for new Endowed Chairs/Professorships is 45, not including the MSOM. The new designation of a small number of named chairs would be "X University Professor, Y name of Benefactor." Faculty members must have teaching or research responsibilities in two schools or colleges at UM or two separate department in the College of Arts and Sciences. Nominations are made to the dean, and approved by the Provost and President.

He pointed out that a "University Professor" is not the same as a "Distinguished Professor." There are currently eight Distinguished Professors at UM, the highest honor as a professor. The selection process mandates that a school committee make a recommendation that is voted on by the current Distinguished Professors. The Provost and President give the final approval, and notify the Faculty Senate.

PROPOSAL TO MERGE THE DEPARTMENT OF GEOLOGICAL SCIENCES PROGRAMS FROM THE COLLEGE OF ARTS AND SCIENCES TO THE DEPARTMENT OF MARINE GEOSCIENCES IN THE ROSENSTIEL SCHOOL OF MARINE AND ATMOSPHERIC SCIENCE

College of Arts and Sciences Dean Leonidas Bachas summarized the proposal and highlighted that both schools have approved the merging of these two departments. RSMAS Dean Roni Avissar also emphasized that his school supported this merger.

This proposal comes forward with the unanimous approval of the GWC.

A motion was made, seconded, and unanimously approved.

OPEN ENROLLMENT UPDATE

Associate VP of Total Rewards Cristina Elgarresta and HR Manager Stephanie Piloto explained that there are no changes this year for employee health insurance benefits, although there is a slight increase in premiums. They are working on Medical claim issues that the Senate Chair had raised. There are no changes for dental benefits. New this year, short-term disability will be offered for faculty and staff. Also new is voluntary excess life insurance policies.

The presenters entertained questions for the floor.

PROPOSAL TO ADD A STUDENT REPRESENTATIVE TO THE SEARCH COMMITTEES FOR DEANS, SECTION A13.3 IN THE FACULTY MANUAL

The Chair explained that currently there is no consistency with student appointments on search committees. This proposal would allow for an *ex officio* non-voting student member, but would not exclude the committee chair from also appointing a voting student member to the committee.

This proposal comes forward with the unanimous approval of the GWC.

A motion was made, seconded, and unanimously approved.

UPDATE ON THE NEW PARKING POLICY AND VIRTUAL PERMITS

Director of Parking Richard Sobaram and Associate VP of Real Estate Brian Gitlin explained that effective in fall of 2018, there is going to be an enforced policy of no-back ins (head-in only) for parking. Due to recent technology that allows for electronic scanning of license tags, this new procedure will allow for the elimination of hard-copy hangtags, ensure better security, and allow for more thorough citation of violators, which results in increased compliance. This policy has been thoroughly vetted, including hearing no objections from the GWC.

The presenters responded to questions about how to secure pro-rated parking passes for adjunct faculty; explained exceptions for the back-in policy for disabled parking spaces; and outlined that UM license plates will be sold to serve as marketing for UM as a replacement for the lack of hangtags that had served as such in the past.

ELECTION OF PROPOSED MEMBERS FOR THE COMMITTEE ON PROFESSIONAL CONDUCT AND HEARING PANEL

These items were tabled, since not all of the members have agreed to serve, and there are still open seats.

A motion was made, seconded, and unanimously approved to table the item.

GENERAL WELFARE COMMITTEE REGULAR AND ALTERNATE REPRESENTATIVE FROM THE FROST SCHOOL OF MUSIC

A motion was made, seconded, and unanimously approved to elect Kate Reid to serve as the Regular General Welfare Committee Member from the Frost School of Music.

GENERAL WELFARE COMMITTEE ALTERNATE REPRESENTATIVE FROM THE SCHOOL OF COMMUNICATION

A motion was made, seconded, and unanimously approved to elect John Soliday to serve as the General Welfare Committee Alternate Member from the School of Communication.

OTHER BUSINESS

A Senate member stated his dissatisfaction with the transition of the new financial component of Workday, explaining that the summer would have been a better time for this roll-out. There was concurrence that the implementation was done too quickly for staff to complete the multiple training classes.

A Senate member asked whether any of the new leaders were internal hires. The response was that they were external candidates. The Senate member suggested that internal leadership promotion has many benefits for the institution including maintaining institutional memory.

This year's new slate of Senate members introduced themselves.

ADJOURNMENT

The meeting adjourned at 5:10 p.m.

Respectfully submitted,
Robyn Hardeman
Secretary of the Faculty Senate

Elected Senate officers:
Tomás Salerno, Chair;
Linda Neider, First Vice Chair;
JoNel Newman Second Vice Chair

Background materials included with hard-copy minutes.

Consensus Agenda

Item 1

Approval of Name Change for Graduate Program in Physiology and Biophysics

Contact person:

H. Peter Larsson, Ph.D. Professor
Graduate Program Director of Physiology and Biophysics
Department of Physiology and Biophysics
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Miami FL 33136
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Content:

- | | |
|-------------------------------------------------------------|-----------|
| 1. Cover page. | Page 1. |
| 2. Letter of explanation. | Page 2. |
| 3. Memo to and Approval from Dean of the School of Medicine | Page 3-4. |
| 4. Approval from Medical School Faculty Council | Page 5. |
| 5. Approval from Chair of Physiology and Biophysics | Page 6. |
| 6. Approval from the Office of Assessment and Accreditation | Page 7. |
| 7. Approval from the Dean of the Graduate School | Page 8. |
| 8. A brief memo explaining the reason for the change | Page 9. |




H. Peter Larsson
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Letter of Explanation
October 9, 2017

TO: Faculty Senate University of Miami

FROM: H. Peter Larsson, Ph.D., 
Graduate Program Director

TOPIC: Name change for Graduate Program in Physiology and Biophysics

The external reviewers of the latest review of our graduate program (now named Graduate Program in Physiology and Biophysics) proposed that we change our name to Graduate Program in Cellular Physiology and Molecular Biophysics. This proposed name change is to make the program name more in line with other programs in the field and to modernize the program name. We hope that this name change will attract more students to our program and to better inform potential students about the research in our program (which is to study physiology and biophysics on the cellular and molecular level).




MILLER
SCHOOL OF MEDICINE

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MEMORANDUM
July 10, 2017

TO: Dean Edward Abraham, M.D.

FROM: H. Peter Larsson, Ph.D.,
Graduate Program Director 

TOPIC: Name change for Graduate Program in Physiology and Biophysics

The graduate faculty of the Graduate Program in Physiology and Biophysics voted to change the name of the graduate program to Graduate Program in Cellular Physiology and Molecular Biophysics at the faculty meeting on Tuesday May 23, 2017. This was in response to the external review of the graduate program and follows the recommendations of the external review committee. The Chair of the Department of Physiology and Biophysics, Dr. Karl Magleby, agrees with this name change of our graduate program. For the notification to the Faculty Senate of this name change, we need a memo from the Dean of the Medical School signifying approval of the faculty of the Medical School.



Larsson, Peter

From: Abraham, Edward, M.D.
Sent: Thursday, July 13, 2017 3:28 PM
To: Larsson, Peter
Subject: RE: Name change for Graduate Program in Physiology and Biophysics

I am supportive of this name change.

Is this email sufficient or does there need to be additional written confirmation?

Edward Abraham, M.D.

Dean & Chief Academic Officer
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From: Larsson, Peter
Sent: Thursday, July 13, 2017 1:21 PM
To: Abraham, Edward, M.D. <eabraham@med.miami.edu>
Subject: Name change for Graduate Program in Physiology and Biophysics

Dear Dean Abraham,

The graduate faculty of the Graduate Program in Physiology and Biophysics has voted to change the name of the program to Graduate Program in Cellular Physiology and Molecular Biophysics (see attached Memo). Dean Prado will present the name change to the Graduate Council at the next meeting on August 22nd. If there are no concerns, Dean Prado will forward a memorandum to the Faculty Senate regarding the approval of the name change. An additional documentation needed for the Faculty Senate to approve the name change is a memo from the Dean of the Medical School signifying approval of the faculty of the Medical School (see item IA.3 below). This is a name change only, all other parts of the program remains as before. The Memo could be sent back to me and I will put together all Memos to the Faculty Senate.

Sincerely,

July 26, 2017

Tomas Salerno, M.D.
Chair, Faculty Senate
University of Miami
Ashe Building, Suite# 325
252 Memorial Drive
Coral Gables, FL 33146

Re: Council Approved a Proposal for a Graduate Program Name Change for Ph.D. in Physiology and Biophysics to Ph.D. in Cellular Physiology and Molecular Biophysics.

Dear Dr. Tomas Salerno,

This is to inform the Faculty Senate that the Medical School Faculty Council met on July 25th, 2017 to review the **Proposal for a Graduate Program Name Change for Ph.D. in Physiology and Biophysics to Ph.D. in Cellular Physiology and Molecular Biophysics.**

The proposed name change is the result of recommendations from the program's external review process. No other changes are being made to the program.

The council members voted to *approve* the proposal.

Respectfully submitted,




Carl Schulman, M.D., M.S.P.H., Ph.D.
Speaker, Medical Faculty Council



Karl L. Magleby, Ph.D.
Professor and Chairman

MEMORANDUM
July 13, 2017

TO: Office of Faculty Senate

FROM: Karl L. Magleby, PhD
Chairman 

TOPIC: Name change for Graduate Program in Physiology and Biophysics

As Chair of Physiology and Biophysics, I approve of the name change proposed by the graduate faculty of the Graduate Program in Physiology and Biophysics, to the new name of Graduate Program in Cellular Physiology and Molecular Biophysics.

KLM:rb


UNIVERSITY
OF MIAMI



MEMORANDUM

DATE: July 17, 2017

TO: Peter Larsson, Graduate Program Director
Department of Physiology and Biophysics
Miller School of Medicine

FROM: Patty Murphy, Executive Director 
Office of Assessment and Accreditation

RE: Graduate Program Name Change from Ph.D. in *Physiology and Biophysics* to Ph.D. in *Cellular Physiology and Molecular Biophysics*

On July 10, 2017, the Miller School of Medicine notified my office of its intent to change the name of its Ph.D. program in *Physiology and Biophysics* to a Ph.D. in *Cellular Physiology and Molecular Biophysics*.

The proposed name change is the result of recommendations from the program's external review process and was approved by a vote of the program faculty. No other changes are being made to the program. Consequently, this proposed change does not "represent a significant departure, either in content or in method of delivery" from what we are currently approved by the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) to offer. SACSCOC only requires notification of program changes that represent a significant departure from our current programs. Therefore, no notification or approval is required for this change.

Please contact me if you have any questions at pattymurphy@miami.edu or (305) 284-3276.

CC: Faculty Senate
Guillermo Prado, Dean of the Graduate School
Edward Abraham, Dean of the Miller School of Medicine
Karen Beckett, University Registrar

UNIVERSITY OF MIAMI

GRADUATE SCHOOL



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MEMORANDUM

DATE: August 31, 2017

TO: Tomas Salerno
Chair, Faculty Senate

FROM: Guillermo ("Willy") Prado *Guillermo Prado*
Dean, The Graduate School

SUBJECT: Name Change – Graduate Program in Physiology and Biophysics

The Department of Physiology and Biophysics in the Miller School of Medicine submitted a request to change the name of the program to Cellular Physiology and Molecular Biophysics. The request was discussed at the meeting of the Graduate Council on Tuesday, August 22, 2017, and no concerns were expressed by the Council members.

cc: Edward Abraham, Dean, Miller School of Medicine
Zafar Nawaz, Senior Associate Dean, Graduate and Postdoctoral Studies
Peter Larsson, Graduate Program Director, Dept. of Physiology and Biophysics
Office of Planning, Institutional Research and Assessment




H. Peter Larsson
Professor
Department of Physiology and Biophysics
University of Miami, Miller School of Medicine
1600 NW 10th Avenue
RMSB 5123
Miami, FL 33136

ph:305-243-1021
e-mail:PLarsson@med.miami.edu

MEMORANDUM
October 9, 2017

TO: Faculty Senate University of Miami

FROM: H. Peter Larsson, Ph.D.,
Graduate Program Director 

TOPIC: Name change for Graduate Program in Physiology and Biophysics

The graduate faculty of the Graduate Program in Physiology and Biophysics voted to change the name of the graduate program to Graduate Program in Cellular Physiology and Molecular Biophysics at the faculty meeting on Tuesday May 23, 2017. This was in response to the external review of the graduate program and follows the recommendations of the external review committee.

This proposed name change is to make the program name more in line with other programs in the field and to modernize the program name. We hope that this name change will attract more students to our program and to better inform potential students about the research in our program (which is to study physiology and biophysics on the cellular and molecular level). This name change does not result in undue overlap with titles of other existing departments or programs.

The Chair of the Department of Physiology and Biophysics, the Dean of the Graduate School, the Medical School Council, the Dean of the School of Medicine, and the Office of Assessment and Accreditation all agree with this name change of our graduate program.




Item B3

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



TO: Robyn Hardeman
Secretary, Faculty Senate

FROM: Anuj Mehrotra 
Sr. Vice Dean, School of Business Administration

RE: Change of Name of Deloitte International Financial Reporting Institute (IFRI) to Deloitte Institute for Research and Practice of Analytics (DIRPA)

Date: October 9, 2017

I am writing to seek approval for changing the name of Deloitte International Financial Reporting Institute (IFRI) to establish Deloitte Institute for Research and Practice of Analytics (DIRPA) at the School of Business Administration, University of Miami.

This name change will reflect the mutual (donor and the School of Business) desire expressed in a newly executed gift agreement to repurpose the original gift that was given to establish IFRI. This repurposing of the gift allows us to focus on research and practice of business analytics, an area of increasing importance and growth.

The following documents are in this packet to support the proposal:

1. A completed Proposal Submission Checklist.
2. A summary of the purpose of DIRPA. The objectives of DIRPA are to support business analytics research and education of students and professionals across disciplines in business analytics.
3. Support letters from:
 - a. Dean of School of Business Administration
 - b. Dean of College of Engineering
 - c. Chair of Department of Mathematics
 - d. All Department Chairs at the School of Business Administration
4. Executed Agreement with Deloitte to repurpose the original gift.

5. Original proposal (including the original gift agreement) and faculty senate approval that was granted to establish IFRI in 2012.

We expect that DIRPA will support research in business analytics and further serve the growing educational needs of students across Engineering, Math and other departments interested in the area of Business Analytics. It will also help students across various areas within the School of Business including our students in the M.S. in Business Analytics program and allow University of Miami to be a strong supplier of qualified students in this increasingly important area.

The proposal to establish DIRPA at the School of Business, University of Miami was unanimously approved by the SBA School Council on May 4, 2017 and approved by the SBA voting faculty on October 7, 2017.



UNIVERSITY OF MIAMI

Proposal Submission Checklist

Proposals are to be submitted to the Office of Assessment and Accreditation (OAA), if applicable, the Graduate Council (for graduate programs excluding Law and Medical), if applicable, and the Faculty Senate. Refer to the Procedures for Program Changes document for information on the approvals and notifications needed for program changes and the Proposal Submissions Specifications document for an explanation of the process and a list of the materials required.

(Please note that change approvals can take 2 semesters to complete.)

Include this checklist at the beginning of each proposal.

(Complete the information below, save the form as a pdf, and insert it with the background materials that are specified, in the order listed, and send the package electronically as noted above.)

KEY CONTACT PERSONNEL INFORMATION

First Name

ANUJ

Last Name

MEHROTRA

Proponent's Title

Vice Dean of Faculty

Department, if applicable

Management Science & Deans Office

School/College

Business Administration

E-mail

anuj@miami.edu

Phone

305-284-1973

Title of Proposal

Establishment of Deloitte Institute for Research & Practice of Analytics

(-continue to next page-)

(New Name & Purpose from the old name of
Deloitte International Financial
Reporting Institute)

MANDATORY MEMORANDA AND FORMAT

Please check that each item listed below is included in the proposal package of materials. The applicable title (i.e. Letter of Explanation, Memo from the Dean, etc.) must precede each section in the materials.

Only proposals conforming to this format will be accepted.

1. This completed checklist.

2. Letter of explanation. (2-3 pages only, double spaced, 12 pt font)

Yes No

If no, explain why.

3. A memo from the dean(s) signifying approval of the faculty of the relevant School(s) / Colleges(s).

Yes No

If no, explain why.

4. A memo that all affected or relevant School / College Council(s) have approved.

Yes No

If no, explain why.

5. A memo from the department chair(s) signifying approval of the faculty of the relevant department(s).

Yes No

If no, explain why.

6. A memo from the Office of Accreditation and Assessment (OAA) if the proposal involves academic programs (degrees, certificates, majors, minors, concentrations, specializations, tracks, etc.) such as new programs, closing programs, or program changes (such as changes in requirements, program length, modality, name, location).

(To be submitted by OAA to the Graduate Council or the Faculty Senate, as appropriate.)

Applicable Not applicable.

If not, explain why.

7. A memo from the Graduate School Dean signifying approval of the Graduate Council (for graduate programs only).

(To be submitted to the Faculty Senate by the Graduate Council.)

Applicable Not applicable.

If not, explain why.

8. Academic Deans Policy Council (ADPC) approval, for interdisciplinary issues and as appropriate. Please consult with the Dean of the Graduate School or the Secretary of the Faculty Senate to check if this is needed.

Yes No

If no, explain why.

Don't believe it is needed - relevant Dean has written support letters & chair

9. Additional required documents as listed on the "Proposal Submissions Specifications," i.e. market analysis, budget information, assessment of library collections, etc. as specified.

List additional documents included.

Funded fully by repurposing of gift (old & new agreements included)

End form.

**PROPOSAL FOR THE ESTABLISHMENT OF
Deloitte Institute for Research and Practice of Analytics in the School of Business
Administration at the University of Miami**

On August 8, 2011, Deloitte LLP signed a gift agreement with the SBA to establish the Deloitte Financial Reporting Institute. The creation of the Institute was approved by the Faculty Senate on January 25, 2012. On April 5, 2017, an appended gift agreement was signed by Deloitte and the SBA. This agreement specifies that the gift is to be repurposed to fund the Deloitte Institute for Research and Practice of Analytics.

The current proposal seeks to formally establish an academic unit for the creation and dissemination of knowledge in the area of business analytics. Given the scope and nature of its mission, this organization falls under "Other Named or Titled Academic Units," as described in the Faculty Manual (Bylaw B6.6).

Overview

The two objectives of the Institute are 1) to support business analytics research and 2) to support the education of students and professionals across disciplines in the area of business analytics. A Director who will report to the Dean of the SBA will lead the Institute. An Executive Committee will advise the Director on the various issues related to the Institute's operations.

Governance Structure

Director

The Director, appointed by the Dean of the School of Business, will be responsible for the overall leadership and management of the Institute, including the preparation of the annual operating budget.

Advisory Board

Responsibilities -The Advisory Board will assist the Director in the management, operations, and governance of the Institute. The Advisory Board will be involved in reviewing and approving the allocation of resources to specific activities. In addition, the Board will review the budgeted expenditures annually and review the Institute's Charter every three years and as needed, make recommendations for amendments.

Board Membership- The board will consist of the following members:

- Dean, School of Business (or the Dean's appointee)
- A faculty member, appointed by the Dean in consultation with the Director, from one or more interested School of Business departments involved in the discipline of business analytics.
- One or more professionals from the business community at large including Deloitte representatives.

Sources and Uses of Funds

The Director will prepare an annual operating budget in consultation with the Board, and will then follow the standard budgetary approval process for Institutes (i.e., Dean, Provost, President, and Board of Trustees).

Sources of Funds

Deloitte, LLP Gift – Deloitte, LLP, a “Big-4” accounting firm made a \$5,000,000 gift. The original gift agreement, executed on August 8, 2011, and amended on April 5, 2017, was initially intended to support the Deloitte Institute for International Financial Reporting, but is being repurposed to fund the Deloitte Institute for Research and Practice in Analytics.

Fundraising Events – Periodic professional conferences and other fundraising activities will be held with net proceeds used to support the institute.

Uses of Funds

Expenditures will primarily consist of support for curriculum development, student enrichment and community outreach, and research. The Director will utilize a committee(s) comprising of the Vice Deans of Graduate Business Programs and Undergraduate Business Education (or their designees), members of the Advisory Board, and interested faculty to provide guidance on the following processes required for each expenditure category. The director may also include other members as appropriate to serve on special allocation committees.

- **Curriculum Development:** The full committee or sub-committee as determined by the Dean in consultation with the Director, will allocate resources for curriculum development within budgetary guidelines.
- **Scholarships:** The full committee or sub-committee as determined by the Dean in consultation with the Director will decide on the distribution of scholarships within the budgetary guidelines.
- **Student Enrichment and Community Outreach:** The full committee or sub-committee as determined by the Dean in consultation with the Director will allocate resources for curriculum development within budgetary guidelines.
- **Research Support:** The full Committee or sub-committee as determined by the Dean in consultation with the Director, will allocate research awards consistent with standards and

policies established by the School of Business. Direct research grants under \$2,000 will require the approval of the Institute Director.

- **Administration:** Administrative costs will be approved by the Institute Director within budget guidelines.
- Revisions to budgeted spending in excess of 15% (e.g., spending more on curriculum development and less on research support) require approval of the Dean in consultation with the Institute Director. .

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION

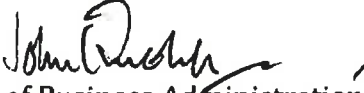


Office of the Dean

P.O. Box 248027
Coral Gables, Florida 33124-6520

Ph: 305-284-4643
Fax: 305-284-6526

TO: Tomas Salerno
Chair, Faculty Senate

FROM: John Quelch 
Dean, School of Business Administration

RE: Deloitte Institute for Research and Practice of Analytics

Date: October 9, 2017

This memo is to document my support for the establishment of the Deloitte Institute for Research and Practice of Analytics (DIRPA). The establishment of DIRPA will enhance the School's reputation in an important area of Business Analytics. This funded institute will serve the faculty and students interested in Business Analytics at the Business School, as well as other Schools and Colleges at the University, enhancing the potential for interdisciplinary cooperation and collaboration.

The establishment of this institute results from repurposing a gift from Deloitte and was unanimously supported by the SBA School Council on May 2017 and the SBA regular faculty on October 7, 2017. It was also approved by the SBA Department Chairs.

I enthusiastically support the approval of this institute.

UNIVERSITY OF MIAMI
COLLEGE of ENGINEERING

Jean-Pierre Bardet, Ph.D.
Dean and Professor

1251 Memorial Drive
MEB Room 255
Coral Gables, FL 33146

Ph: 305-284-6035
Fax: 305-284-2885
bardet@miami.edu

TO: Tomas Salerno
Chair, Faculty Senate

FROM: Jean Pierre Bardet
Dean, College of Engineering



RE: Deloitte Institute for Research and Practice of Analytics

Date: October 2, 2017

I am writing to document my support for the School of Business Administration's proposal to establish the Deloitte Institute for Research and Practice of Analytics (DIRPA). The establishment of DIRPA will enhance the University's reputation in an important area of Business Analytics. This funded institute will also serve the faculty and students interested in Business Analytics at the University and further enhance the potential for interdisciplinary cooperation and collaboration.

I enthusiastically support the approval of this institute.


UNIVERSITY OF MIAMI
COLLEGE of
ARTS & SCIENCES



Department of Mathematics
P.O. Box 249085
Coral Gables, FL 33124-4250

Phone: 305-284-2575
Fax: 305-284-2848
math@math.miami.edu

TO: Tomas Salerno
Chair, Faculty Senate

FROM:  Stephen Cantrell
Chair, Department of Mathematics

RE: Deloitte Institute for Research and Practice of Analytics

Date: October 2, 2017

I am writing to express my personal support for the School of Business Administration's proposal to establish the Deloitte Institute for Research and Practice of Analytics (DIRPA). The establishment of DIRPA will enhance the University's reputation in an important area of Business Analytics. This funded institute will also serve the faculty and students interested in Business Analytics at the University and further enhance the potential for interdisciplinary cooperation and collaboration.

I enthusiastically support the approval of this institute.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: SBA School Council

From: Sundaresh Ramnath, Chair, Department of Accounting

CC: Anuj Mehrotra, Interim Dean

Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics

Date: May 2, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics. As proposed, this institute will further the department's research and teaching initiatives in the area of business analytics and benefit our students. It will also help promote cross-disciplinary collaborations. Please let me know if I can answer any questions. Thanks!

Sincerely,


A handwritten signature in black ink, appearing to read 'Sundaresh Ramnath', written over a horizontal line.

Sundaresh Ramnath
Chair, Department of Accounting
School of Business Administration
University of Miami

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: SBA School Council

From: Robert Plant, Chairman, Department of Business Technology 

CC: Anuj Mehrotra, Interim Dean

Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics


Date: May 2, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics. As proposed, this institute will further the department's research and teaching initiatives in the area of business analytics and benefit our students. It will also help promote cross-disciplinary collaborations.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: Anuj Mehrotra, Sr. Vice Dean

From: Ann Olazabal, Chair, Business Law Department 

Subject: Proposal for establishment the Deloitte Institute for Research and Practice of Analytics

Date: Oct 5, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics at the School of Business Administration. As proposed, this institute will further the School's research and teaching initiatives in the area of business analytics and will benefit our students. It will also help promote cross-disciplinary collaborations.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: SBA School Council *MCS 05/04/2017*
From: Manuel Santos, Chairman, Department of Economics
CC: Anuj Mehrotra, Interim Dean
Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics
Date: May 2, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics. As proposed, this institute will further the department's research and teaching initiatives in the area of business analytics and benefit our students. It will also help promote cross-disciplinary collaborations. Please let me know if I can answer any questions.




Alok Kumar
Department Chair and
Gabelli Asset Management
Professor of Finance

Department of Finance
514-E Jenkins
5250 University Drive
Coral Gables, Florida 33124-6552

Ph: 305-284-1882
Dept: 305-284-4362
Fax: 305-284-4800
akumar@miami.edu

To: SBA School Council

From: Alok Kumar, Chairman, Department of Finance 

CC: Anuj Mehrotra, Interim Dean

Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics

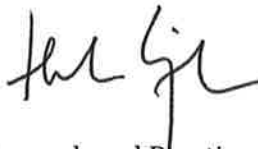
Date: May 2, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics. As proposed, this institute will further the department's research and teaching initiatives in the area of business analytics and benefit our students. It will also help promote cross-disciplinary collaborations. Please let me know if I can answer any questions.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: Anuj Mehrotra, Sr. Vice Dean

From: Henrik Cronqvist, Chairman, Department of Finance 

Subject: Proposal for establishment the Deloitte Institute for Research and Practice of Analytics

Date: Oct 5, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics at the School of Business Administration. As proposed, this institute will further the department's research and teaching initiatives in the area of business analytics and benefit our students. It will also help promote cross-disciplinary collaborations.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: SBA School Council

From: Steven G. Ullmann, PhD, Chair, Department of Health Sector Management and Policy *JU*

CC: Anuj Mehrotra, Interim Dean

Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics

Date: May 2, 2017

I wholeheartedly support the proposal to establish the Deloitte Institute for Research and Practice of Analytics.

Business Analytics has become a major area of outcome based research in the area of Health Sector Management and Policy. It has been used in research by our faculty, including me, and we have had several students working in this area as well.

Health care systems, health insurance, managed care companies, and public health policy makers alike see this area as being of significant importance to improve quality and reduce costs of health care.

Please let me know if I can provide any additional insight. Thank you.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: SBA School Council

From: Jeffrey Kerr, Chairman, Department of Management

CC: Anuj Mehrotra, Interim Dean

Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics

Date: May 2, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics. As proposed, this institute will further the department's research and teaching initiatives in the area of business analytics and benefit our students. It will also help promote cross-disciplinary collaborations. Please let me know if I can answer any questions.

Yours truly,

A handwritten signature in blue ink, appearing to read 'Jeffrey L. Kerr'.

Jeffrey L. Kerr, Ph.D.
Chair, Department of Management
University of Miami
5250 University Drive
417 Jenkins Bldg.
Coral Gables, FL 33146
(305) 284-2607
jkerr@miami.edu


UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: SBA School Council

From: Yongtao Guan, Chairman, Department of Management Science 

CC: Anuj Mehrotra, Interim Dean

Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics


Date: May 1, 2017

I am writing in support of the proposal to establish the Deloitte Institute for Research and Practice of Analytics. As proposed, this institute will further the department's research and teaching initiatives in the area of business analytics and benefit our students. It will also help promote cross-disciplinary collaborations. Please let me know if I can answer any questions.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



To: SBA School Council

From: Michael Tsiros, Chairman, Department of Marketing 

CC: Anuj Mehrotra, Interim Dean

Subject: Proposal for establishment of the SBA - Deloitte Institute for Research and Practice of Analytics

Date: May 2, 2017

I am delighted to support the establishment of the Deloitte Institute for Research and Practice of Analytics. Marketing is a field rich with problems and opportunities for the application of analytics. Most applications of analytics are marketing related or have a significant marketing component to it. Marketing analytics is changing both academia and industry practice. By sponsoring research, curating data, funding students and hosting conferences this institute will better align our research and teaching to industry needs. It will also help foster inter-departmental collaboration and cross-disciplinary research. Please let me know how our department can help with this initiative.

**Amendment No. 1 to Agreement for Gift
Between
the University of Miami and Deloitte Services LP**

Reference is hereby made to that certain Gift Agreement (the "Agreement") dated June 8, 2011 and entered into by and between Deloitte Services LP (the "Donor") and the University of Miami, Florida a non-profit corporation (the "University").

Whereas, the Donor and the University desire to amend the Agreement by making certain corrections and adding certain terms and conditions thereto, now

Therefore, the parties agree as follows:

1. All references to "Deloitte Services, LLP" contained in the introductory paragraph of the Agreement, and in the signature block of the Agreement are hereby corrected to "Deloitte Services LP".

2. Section 5 of the Agreement shall be deleted in its entirety and replaced with the following:

The Donor and the University of Miami agree that gift amounts received hereunder will be used to create the *Deloitte Institute for Research and Practice in Analytics* (the "Analytics Institute") in the School of Business Administration at the University of Miami. The parties agree that this naming is contingent on and subject to prior approval by the Faculty Senate and that the University shall obtain the Donor's written consent prior to altering or modifying such name.

3. Section 14 of the Agreement shall be deleted in its entirety and replaced with the following:

If, in the best judgment of the President or the Executive Committee of the Board of Trustees of the University of Miami, circumstances change such that all or part of the gift amounts hereunder cannot be used in the manner described in this Agreement, or that purposes for which such gift amounts were pledged can no longer be fulfilled or otherwise become impracticable, the University shall provide the Donor with written notice of such change in circumstances and shall use such gift amounts for other purposes as nearly aligned to the original purpose, as specified in this Agreement, as the President or the Executive Committee of the Board of Trustees of the University of Miami deems appropriate in its best judgment under the circumstances, after consultation with the Donor.

Without limiting the generality of the preceding paragraph, the University shall provide the Donor prompt written notice of any determination by the University that it cannot fulfill any of its material commitments under this

Agreement or that the purpose for which the gift amounts hereunder are being pledged no longer exists (a "Purpose Change Notice").

4. The following shall be added as new Section 15 to the Agreement:

15. In addition, the University and the Donor will form an advisory board for the Analytics Institute that will meet periodically, but no less than annually, for the purpose of planning and reviewing the activities of the Analytics Institute and providing appropriate guidance. The Analytics Institute advisory board will be comprised of representatives from the University and the Donor, and a number of scholars or practitioners from outside these communities to be appointed by the University. Annual endowment reports to the Donor shall include the history and the purpose of the endowment, the market value, the amount distributed during the preceding fiscal year, income and gifts to the endowment during the previous fiscal year, and the use and impact of the endowment, all in accordance with University policies from time to time in effect.

5. The following shall be added as new Section 16 to the Agreement:

16. The obligations of the Donor hereunder shall terminate upon the University's provision to the Donor of a Purpose Change Notice, unless Donor agrees to the terms of the Purpose Change Notice. In addition, the obligations of the Donor hereunder shall terminate upon written notice by the Donor to the University of a determination by Donor that (x) the University has materially breached this Agreement or (y) a governmental, regulatory or professional entity (including, without limitation, the American Institute of Certified Public Accountants, the Public Company Accounting Oversight Board or the Securities and Exchange Commission), or another entity having the force of law, has introduced a new, or modified an existing, law, rule, regulation, interpretation or decision, the result of which would render performance of any part of this Agreement by the Donor illegal or otherwise unlawful or in conflict or inconsistent with the rules relating to independence, conflicts of interest or professional practice.

6. The following shall be added as new Section 17 to the Agreement:

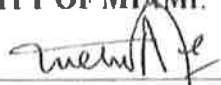
This Agreement, as amended by the parties, constitutes the entire understanding of the parties hereto with respect to the subject matter hereof and supersedes all prior agreements or understandings, whether oral or written, among the parties with respect to the subject matter hereof, and will be governed by and construed in accordance with the laws of the State of Florida. Any assignment of this Agreement by the Donor shall require the prior written consent of the University, and any

assignment of this Agreement by the University shall require the prior written consent of the Donor. This Agreement may not be amended except in a writing executed by all parties hereto. In no event shall any party hereto be liable for any consequential, special, direct, indirect, incidental, punitive or exemplary loss, damage or expense relating to or arising out of this Agreement.

7. All provisions of the Agreement not herein modified shall remain in full force and effect. In the event of a conflict between the terms of this Amendment No. 1 and the terms of the Agreement, the terms of this Amendment No. 1 shall supersede and govern.

IN WITNESS WHEREOF, the parties have executed this Amendment No. 1 this ___ day of February, 2017.

UNIVERSITY OF MIAMI:

By: 

Title: Interim Dean

Date: 4/5/17

UNIVERSITY OF MIAMI:

By: 

Title: SVR, University Advancement

Date: 4/10/17

D&T SERVICES GP LLC, as the general partner of Deloitte Services LP

By: Deloitte LLP, as the member of D&T Services GP LLC

By: 

Name: PETER T. PRUITT JR.

Title: PARTNER

Date: 4/5/17

UNIVERSITY
OF MIAMI
FACULTY SENATE



Faculty Senate Office
Ashe Administration Building, #325
1252 Memorial Drive
Coral Gables, FL 33146

facsen@miami.edu
web site: www.miami.edu/fs
P: 305-284-3721
F: 305-284-5515

MEMORANDUM

To: Donna E. Shalala, President

From: Richard L. Williamson
Chair, Faculty Senate

Date: February 2, 2012

Subject: Faculty Senate Legislation #2011-29(B) – Establishment of the School of Business Administration Deloitte International Financial Reporting Institute

At its January 25, 2012 meeting, the Faculty Senate unanimously approved the proposal for the establishment of the School of Business Administration Deloitte International Financial Reporting Institute for five years and any extensions thereafter.¹ As noted in the proposal, the two objectives of the Institute are 1) to support international accounting research and 2) to support the education of accounting students and professionals in international financial reporting.

The supporting materials are enclosed for your reference.

This legislation is now forwarded to you for your action.

RW/rh

Enclosure

cc: Thomas LeBlanc, Executive Vice President and Provost
Eugene Anderson, Dean, School of Business Administration
Andrew Leone, Presenter, Professor and Chair, Department of Accounting

¹ C18.2.2 Other NAMED or TITLED ACADEMIC UNITS established under Bylaw 6.6 may be approved by the Faculty Senate and the President. For each such approved unit, the Executive Vice-President and Provost shall consult with the dean of the host school at five year intervals to determine whether the unit remains active and shall report to the Faculty Senate the names of those that should be disestablished.

C18.2.3 Periodic five year reviews may be conducted on all programs, centers, institutes and similar academic units as deemed appropriate by the Faculty Senate or the Executive Vice-President and Provost.

CAPSULE: Faculty Senate Legislation #2011-29(B) – Establishment of the School of Business
Administration Deloitte International Financial Reporting Institute

PRESIDENT'S RESPONSE

APPROVED: _____ DATE: _____
(President's Signature)

OFFICE OR INDIVIDUAL TO IMPLEMENT: _____

EFFECTIVE DATE OF LEGISLATION: IMMEDIATELY
(if other than June 1 next following)

NOT APPROVED AND REFERRED TO: _____

REMARKS (IF NOT APPROVED): _____

PROPOSAL FOR THE ESTABLISHMENT OF The School of Business-Deloitte International Financial Reporting Institute

This proposal seeks to formally establish an academic unit for the creation and dissemination of knowledge in the area of international financial reporting. Given the scope and nature of its mission, this organization falls under "Other Named or Titled Academic Units," as described in the Faculty Manual (Bylaw B6.6).

Overview

The two objectives of the Institute are 1) to support international accounting research and 2) to support the education of accounting students and professionals in international financial reporting. A Director who will report to the Chair of the Department of Accounting will lead the Institute. An Executive Committee will advise the Director on the various issues related to the Institute's operations.

Governance Structure

Director

The Director will be responsible for the overall leadership and management of the Institute, including the preparation of the annual operating budget. The Chair of the Accounting Department will appoint the Director, with consent of the Executive Committee and approval by the Dean of the Business School.

Executive Committee

Responsibilities -The Executive Committee is an advisory board whose purpose is to assist the Director in the management, operations, and governance of the Institute. The Committee will be involved in reviewing and approving the allocation of resources to specific activities. In addition, the Committee will review the Budgeted Expenditures at least annually and review the Institute's Charter every three years and approve any recommendations for amendments.

Committee Membership- The committee will consist of the following members:

- Dean, School of Business (or the Dean's appointee)
- Chair, Department of Accounting
- Two faculty members (who cannot also be the Director) appointed by the Chair, Department of Accounting.
- Appointee of Deloitte, LLP, the founding donor.

Sources and Uses of Funds

As discussed above, the Director will prepare an annual operating budget to be approved by the Executive Committee, and to then follow the standard budgetary approval process (i.e., Department Chair, Dean, Provost, President and Board of Trustees).

Sources of Funds

Deloitte, LLP Gift – Deloitte, LLP, a "Big-4" accounting firm pledged a gift, in the amount of \$5,000,000 to be gifted equally over a ten-year period. To date we have received \$1,000,000. An official gift agreement was executed on August 8, 2011.

Fundraising Events – Periodic professional conferences and other fundraising activities will be held with net proceeds used to support the institute.

Uses of Funds

Expenditures will primarily consist of Ph.D. Fellowships, Scholarships for the Masters in Accounting programs, research support, conferences and program administration. In addition to budgetary approval by the Executive Committee, the following processes will be required for each expenditure category.

Approval Process

- **Deloitte-Ph.D. Fellowships:** A three-person committee consisting of the Accounting Ph.D. Director, the Department Chair, and the Institute Director, will award Fellowships within budgetary guidelines.
- **Scholarships:** The Accounting Department Scholarship Committee will decide distribution of scholarships within the budgetary guidelines.
- **Research Support:** A three-person Committee, consisting of the Institute Director, The Chair of the Accounting Department, and a faculty member, will determine the distribution of International Research Support within budgetary guidelines. Criteria for research awards will be consistent with standards and policies established by the School of Business. Direct research grants under \$2,000 require the approval of the Institute Director and the Chair of the Accounting Department.
- **Conferences:** The Institute Director, along with members of the Executive committee, will approve conferences and conference budgets proposed by the Accounting Department.
- **Administration:** Administrative costs will be approved by the Institute Director, and Chair of the Accounting Department.
- Revisions to budgeted spending in excess of 15% (e.g., spending more on Ph.D. Fellowships and less on Scholarships) require approval of the Institute Director, Department Chair, and Dean.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



Andrew J. Leone
Department Chair and Arthur P.
Metzger Professor of Accounting

5250 University Drive
K/E 312
Coral Gables, FL 33158

Tel 305 284-3101
Fax 305-284-5737
a.leone@miami.edu

MEMORANDUM

TO: Robyn Hardeman, Secretary of the Faculty Senate

FROM: Andrew Leone, *AL*
Chair, Department of Accounting

Date: January 9, 2012

SUBJECT: School of Business-Deloitte International Financial Reporting Institute

On December 14, 2011, the Department of Accounting voted unanimously to support the formation of the School of Business-Deloitte International Financial Institute. Enhancing our reputation in international accounting and business is consistent with the strategies of both the Department and School, and the Institute will serve as a catalyst for initiatives in this area.

The Institute will serve two major purposes. One is to support international accounting research that helps us understand causes of variation in financial reporting across countries. Three of the Department's faculty members have already begun a research stream in this area that has led to highly impactful publications. With the backing of an institute, this work can be advanced significantly.

The second purpose is to support the education of accounting students and professionals in international accounting and business. Students receiving the program's International designation will have experience studying, living and working in another country and will also be fluent in a second language, allowing the University of Miami to be a strong supplier of uniquely qualified international accounting professionals.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION




Eugene W. Anderson
Dean

P.O. Box 248027
Coral Gables, Florida 33124

Ph: 305-284-4643
Fax: 305-284-6526
genea@bus.miami.edu

MEMORANDUM

TO: Richard Williamson
Chair, Faculty Senate

FROM: Eugene Anderson 
Dean

SUBJECT: School of Business-Deloitte International Financial Reporting Institute

DATE: January 9, 2012

This letter is forwarded to you to document my support for the establishment of the School of Business-Deloitte International Financial Reporting Institute. The establishment of this institute will enhance the Department's and the School's reputation in international accounting and business. The institute will serve two major purposes; it will support international accounting research and the education of accounting students and professionals in international accounting business.

This enhancement was discussed and unanimously approved by the School of Business's School Council on December 14, 2011. I enthusiastically support the approval of this Institute.

EWA:blr

AGREEMENT FOR GIFT

This Agreement, dated this 8th day of August, 2011, between Deloitte Services, LLP (Donor) and the University of Miami, a Florida non-profit corporation (University) is as follows:

WHEREAS, Donor desires to create the Deloitte International Financial Reporting Institute within the School of Business Administration at the University of Miami, and

WHEREAS, the University of Miami is relying on the proceeds of the gift to fund both an Endowment Fund and a Spending Account to support the Deloitte International Financial Reporting Institute as set forth herein and in accordance with the wishes of the Donor,

THEREFORE, the parties hereto agree as follows:

1. The Recitals to this Agreement are true and correct and are incorporated herein.
2. The parties represent to each other that they have the power and authority to enter into this agreement and that the individuals signing below have the authority to bind their respective parties.
3. The Donor pledges to make a gift to the University of \$5,000,000.00 to create the Deloitte International Financial Reporting Institute Endowment Fund (Endowment Fund) and to fund the Deloitte International Financial Reporting Institute Spending Account (Spending Account). To date, \$1,000,000 has already been received by the University from the Donor, of which \$950,000 will be placed in the Endowment Fund and \$50,000 will be placed in the Spending Account. The payment schedule (Payment Schedule) for the remaining \$4,000,000.00 will consist of the following:

		<u>Endowment</u>	<u>Spending Account</u>	<u>Total</u>
Payment #1:	May 31, 2012	\$450,000	\$50,000	\$500,000
Payment #2:	May 31, 2013	\$425,000	\$75,000	\$500,000
Payment #3:	May 31, 2014	\$400,000	\$100,000	\$500,000
Payment #4:	May 31, 2015	\$375,000	\$125,000	\$500,000

Payment #5:	May 31, 2016	\$350,000	\$150,000	\$500,000
Payment #6:	May 31, 2017	\$500,000	\$0	\$500,000
Payment #7:	May 31, 2018	\$500,000	\$0	\$500,000
Payment #8:	May 31, 2019	\$500,000	\$0	\$500,000
TOTAL:		\$3,500,000	\$500,000	\$4,000,000

At the end of the pledge period, the Donor will have given \$4,450,000 to the Endowment Fund.

4. Pledge payments are to be payable to the University of Miami and sent to the Division of University Advancement, P.O. Box 248073, Coral Gables, Florida 33124-2932.
5. The Donor and the University of Miami agree that the gift will be used to create the Deloitte International Financial Reporting Institute (Institute) in the Department of Accounting at the School of Business Administration at the University of Miami. The parties agree that this naming is contingent on and subject to prior approval by the Faculty Senate and the University's Board of Trustees.
6. Any individual, corporation, foundation, trust, estate or other legal entity may make additions to the fund, and such additions shall be subject to the provisions of this agreement.
7. The Endowment Fund may be invested, commingled, or merged with and become part of the general endowment funds and investment assets of the University of Miami. Guidelines established by the University of Miami Board of Trustees from time to time determine the investment, allocation of return on investment, and distribution of endowment funds and the allocation of income, loss, fees and expenses associated with endowment funds and securing and administering endowment funds. The Fund and all accounting of the Fund will be subject to these guidelines.

8. The donor grants the University full and complete rights to use the name so selected by the Donor for all purposes related to the Deloitte International Financial Reporting Institute, the School of Business Administration and the University of Miami.
9. The amount distributed for spending from this gift, as defined by the University of Miami Growth Pool Endowment Spending Policy, as amended from time to time, shall be used only to provide funding for the Deloitte International Financial Reporting Institute within the School of Business Administration at the University of Miami.
10. When the Endowment reaches \$3,000,000, spending in accordance with the Growth Pool Endowment Policy will commence. Any Donor payments made after that time will be added to the Endowment as described in the Payment Schedule.
11. If the Deloitte International Financial Reporting Institute is not fully funded within the time frame stipulated in this agreement, the name may be removed from the Institute and the endowment will be categorized in a different endowment class in accordance with the required threshold funding levels for endowments. In the event that the Institute is not fully funded, the Institute will only exist as long as funds are available.
12. Once distributions for spending from this fund commence, during the Donor's life or until the Fund is exhausted, the Donor shall receive an annual report from the University stating the history and the purpose of the Fund, the market value thereof, the amount distributed from the Fund during the preceding fiscal year, income and gifts to the Fund during the previous fiscal year, and the use and impact of the Fund, all in accordance with University policies from time to time in effect.
13. Notification should be sent to the following individual:

Name: Joseph Echevarria
Address: 1633 Broadway, 38th Floor
New York, NY 10019

Changes in the above should be sent to:

Office of the Sr. Vice President for University Advancement and External Affairs


University of Miami
P.O. Box 248073
Coral Gables, FL 33124

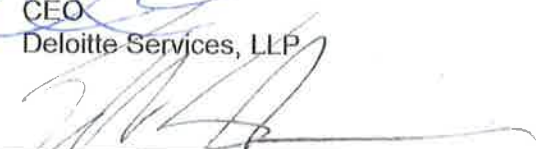
14. If, in the opinion of the President or the Executive Committee of the Board of Trustees of the University of Miami, all or part of the Fund cannot, in the future, be applied usefully to the above purposes, it may be used for any related purpose which, in the opinion of the President or the Executive Committee of the Board of Trustees will most nearly accomplish the wishes of the Donor as expressed herein.


IN WITNESS WHEREOF, the parties have executed this agreement this 8th day of August, 2011.


Witnesses:


By: 
Joseph Echevarria
CEO
Deloitte Services, LLP

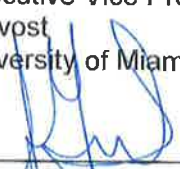


By: 
~~Frances Aldrich Sevilla-Sacasa~~ Eugene W. Anderson
~~Interim~~ Dean
School of Business Administration
University of Miami



By: 
Thomas LeBlanc
Executive Vice President and
Provost
University of Miami



By: 
Sergio M. Gonzalez
Sr. Vice President for
University Advancement and
External Affairs
University of Miami

Item B4


UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



School of Business Administration
5250 University Drive
Coral Gables, FL 33124

Phone: 305-284-4643
Fax: 305-284-6526

To: Faculty Senate

From: Dean John Quelch 
School of Business Administration

Subject: School Name Simplification Proposal

Date: October 9, 2017

The Faculty of the (University of Miami) School of Business Administration seek approval to simplify and modernize the name of the school to the (University of) Miami Business School. The official name will be University of Miami Business School. The shorter name, Miami Business School, will only be used for marketing purposes. The principal reasons for this request are as follows:

1. The term “business administration” is outdated, and almost all schools have already eliminated the term “administration” from their titles.
2. The “University of Miami School of Business Administration” is also cumbersome from a marketing perspective, particularly in the era of social media. A shorter name will help our search engine optimization.
3. Many schools have shortened their names. The Graduate School of Business Administration at Harvard University changed its name to Harvard Business School in 2002. Other top ten schools, Columbia Business School and London Business School, have also adopted a three-word name.
4. Other leading schools retain lengthy official names, but use short-form names in practice. For example, Stanford Graduate School of Business (the official name) is commonly marketed as Stanford Business School.

Exhibit 1 (attached) shows the current and proposed visual presentations of the School name. Going forward, the name “Miami Business School” will always be associated with the University by being attached to the iconic and well-recognized “U” logo in visual presentation. Official documents such as degree certificates will continue to be issued under the University of Miami name (not Miami Business School).

All school level governance bodies overwhelmingly support changing the name of the school from the **(University of Miami) School of Business Administration** to the **(University of) Miami Business School**. The School Council voted unanimously to approve this name change on September 1, 2017. Subsequently over a 14 day voting period (September 6 – September 19) mandated by the school's bylaws, 96.4% of the faculty voted to approve the name change. In addition, on October 4, 2017, the Academic Deans' Policy Council also unanimously approved the name change to the (University of) Miami Business School.

Exhibit 1

Current Visual Presentation:

UNIVERSITY OF MIAMI
**SCHOOL of BUSINESS
ADMINISTRATION**



Proposed Visual Presentation for Marketing Purposes:



Proposed Visual Presentation for Official Documents:

UNIVERSITY OF MIAMI
BUSINESS SCHOOL



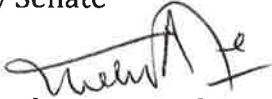
UNIVERSITY OF MIAMI

**SCHOOL of BUSINESS
ADMINISTRATION**



School of Business Administration Phone: 305-284-4643
5250 University Drive Fax: 305-284-6526
Coral Gables, Florida 33146

To: The University of Miami Faculty Senate

From: Dr. Anuj Mehrotra 
Senior Vice Dean for Faculty Development and Research

Subject: School Name Change

Date: October 9, 2017

The voting members of the faculty of the (University of Miami) School of Business Administration were given 14 days (in accordance with school bylaws) to electronically cast their vote for or against changing the name of the (University of Miami) School of Business Administration to the (University of) Miami Business School. The required quorum based on school bylaws was met and 96.4% voted in favor of the name change with only 3.6% against.

UNIVERSITY OF MIAMI
SCHOOL of BUSINESS
ADMINISTRATION



School of Business Administration
5250 University Drive
Coral Gables, FL 33124

Phone: 305-284-4643
Fax: 305-284-6526

To: Faculty Senate

From: Mrs. Blanca Ripoll 
Secretary, School Council

Subject: School Name Change

CC: School Council Members: Brian Barrett (Speaker of the Council);
Shirley Dennis (First Vice Speaker); Anita Cava (Second Vice Speaker);
Sara Rushinek; Phil Robins; Karoline Mortenson; Howard Gitlow;
Chester Schriesheim; Uzma Khan

Date: September 1, 2017

On September 1, 2017, the elected faculty representatives of the School of Business Administration School Council unanimously voted to approve the name change for the University of Miami School of Business Administration to the (University of) Miami School of Business.

Item B5

MAY 2017 UNDERGRADUATE ADMISSIONS REPORT, FALL 2016

*Annual Report on Admissions and Undergraduate Student Quality
Prepared by the Faculty Senate Committee on Academic Standard¹*

Purpose: The purpose of this report is to provide a Faculty Senate sponsored assessment of the quality of new students enrolled in the Fall 2016 semester. The *U.S. News & World Report* ranking information in this report is based on admissions data for the Fall 2015 incoming class.

Summary: The number of new student applications submitted to the University of Miami was 32,518, of which 12,280 students were accepted (38% admit rate), and 2,042 enrolled as full-time degree-seeking students (17% yield). The number of degree-seeking transfer applications submitted to the University of Miami was 2,686 of which 1,292 students were admitted (48% admit rate), and 472 enrolled (37% yield). This report is based on these 2,042 full-time enrolled students (Table 1) and 472 full-time enrolled transfer students (Table 2).

Historically, the University of Miami measured the quality of the incoming class by the average SAT score of enrolled students. However, a national trend, experienced fully by UM, is an increase in the number of students reporting an ACT score (51%) versus a SAT score (40%). The average SAT scores for the Fall 2016 class is 1300, and the average ACT score is 30. However, the most accurate way to measure the profile of the class using standardized test scores is to use a concordance that displays the ACT regarding the SAT. The concordance shows that the average standardized test score of this year's incoming class is 1316, down 3 points compared to the 1319 last year. Only 26% of incoming students' high schools reported a class rank, of which 60% were in the top 10% of their class, 20% were in the next decile, less than 9% of those reporting were below the 4th decile of class ranking, and the class rank percentile mean was 86.50.

¹Members of the 2016-2017 Academic Standards Committee are Kysha Harriell (Chair), Rosina Cianelli, Dorothy Hindman, Charlotte Rogers, Teresa Scandura, Dennis Smith, Joseph Treaster, Jamie Walls, Jorge Willemsen, R. Stephen Cantrell (Ex officio), and William Scott Green (Ex officio). Data for this report was provided by the Division of Enrollment Management and the Office of Planning, Institutional Research, and Assessment.

- 2012 data represents historical data from the legacy student information system (PIDMS). Converted to CaneLink in Fall 2013.
- Fall 2014 and later reflects applicant pool defined by Undergraduate Admission upon conversion to CaneLink and Excludes Continuing Studies.
- Full-time enrollment data Includes summer admits enrolled at fall benchmark, as defined by Integrated Postsecondary Education Data System (IPEDS).
- Fall 2014 and later transfer data reflects applicant pool as defined by Undergraduate Admission upon conversion to CaneLink. Excludes Continuing Studies and Accelerated Nursing.

The University re-entered the top 50, jumping seven spots in rank from 51 to 44 in the Fall 2016 rankings. The rankings for Undergraduate Academic Reputation Index, Graduation and retention rank, and graduation rate performance all improved and the Student Selectivity rank remained the same.

Special Note: University summary data from previous years' reports were revised to eliminate students that were not full-time degree seeking students. Enrollment Management discovered that previous data provided to the Academic Standards Committee included part-time and special program students. For purposes of accuracy in comparing data year to year, previous year's data was updated to include only full-time enrolled students. This change may explain differences when comparing this report to past reports.

Table 1. Fall 2016 New Degree Seeking Students						
Applicant Pool	2012	2013	2014	2015	2016	1-yr Change
Applied	27,757	28,907	31,584	33,411	32,518	-3%
Admitted	11,020	11,691	11,964	12,585	12,280	-2%
Enrolled (Full-Time)	1,987	2,114	2,052	2,056	2,042	-1%
Admit Rate	40%	40%	38%	38%	38%	0%
Yield	18%	18%	17%	16%	17%	1%

Table 2. Fall 2016 Degree Seeking Transfer Students						
Applicant Pool	2012	2013	2014	2015	2016	1-yr Change
Applied	2,645	2,727	2,547	2,537	2,686	6%
Admitted	1,558	1,591	1,506	1,455	1,292	-11%
Enrolled (Full-Time)	538	551	557	515	472	-8%
Admit Rate	59%	58%	59%	57%	48%	-9%
Yield	35%	35%	37%	35%	37%	2%

Academic Quality of Newly Enrolled Students

A. The Fall 2016 First-Year Class

Overview

The Fall 2016 first-year class consisted of 2,042 students (a 1% change since last fall). New transfer students decrease from 515 to 472 (-8%). Reversing the trend of the past few years, the number of first-year applications submitted decreased (-3%) from 33,411 in 2015 to 32,518 for 2016.

The admissions rate for submitted applications was 38%, and the yield rate (the number of students who enrolled vs. accepted) increased to 17% from the previous year's 16% (Table 1). This admissions rate is different from last year's report, as last year's data was based on completed applications (a lower number, making the admit rate higher at 43%). Admissions rates based on the number of applications submitted versus the number completed is the rate used by our peers and reported to the *US News and World Report* for admissions rate rankings. Going forward the committee will use the total number of submitted applications versus completed applications. Using only completed application data would eliminate many high-quality students that choose to apply to the institution but may not fully complete the admissions application if they were an early admit elsewhere.

Official SAT, ACT, and Concordance Scores

The average ACT score for incoming Fall 2016 students is 30, and the average SAT score is 1300. This ACT average has remained constant since 2012, and the average SAT score is up from 1295 last year but lower than the average 1320-1325 range from 2012-2014. However, the number of students taking the SAT has decreased 12% since 2012, with only 40% of students reporting a SAT score. The percentage of students taking the ACT has increased by 13% since 2012, with 51% of students reporting ACT scores. Since the ACT is the predominant standardized exam taken by admitted students, ACT scores have been converted and combined with SAT scores to produce a SAT concordance score. Table 3 supplies an ACT-SAT Concordance by the school/college for the last four years. The current average concordance score is 1316, down three points from last year and down 9 and 15 points from 2014 and 2013, respectively.

Table 3. SAT Concordance Mean (Full-Time Enrolled) by School/College							
School/College	2012	2013	2014	2015	2016	5-yr Change	1-yr Change
Architecture	1293	1271	1280	1238	1234	-5%	0%
Arts & Sciences	1343	1349	1346	1329	1323	-2%	0%
Business	1293	1311	1296	1304	1307	1%	0%
Communication	1278	1291	1269	1289	1273	-1%	-1%
Education & Human Dev.	1225	1235	1189	1257	1214	-2%	-3%
Engineering	1349	1356	1381	1360	1366	1%	0%
Music	1330	1298	1269	1307	1301	-1%	0%
Nursing and Health Studies	1265	1301	1291	1289	1301	-2%	0%
Rosenstiel School	1361	1356	1380	1356	1352	2%	1%
Total (Entire UM)	1323	1331	1325	1319	1316	-1%	0%

High School Class Rank

For the Fall 2016 incoming class, only 26% of students reported a class rank. The small percentage of schools reporting class rank diminishes the analytical value of the data to assess the quality of the incoming class. However, since *US News & World Report* continues to use class rank to determine selectivity scores, it is included that in this report. Specifically, the percentage of students in the top 10% of their high school class is part of the student selectivity score for US News & World Report. As seen in Table 4, of students that reported a class rank, 60% were in the top 10% of their class (down 4% from last year).

Table 4. High School Percentile Mean and Percentage of Students in Top 10%							
	2012	2013	2014	2015	2016	5-yr Change	1-yr Change
Percent of Students in Top 10%	69%	72%	65%	64%	60%	-9%	-4%
Class Rank Percentile Mean	89.4	90.7	87.6	87.8	86.5	-3%	-1%
Percent of Students Reporting	32%	28%	26%	27%	26%	-6%	-1%

Effective Fall 2016, the Office of Admissions implemented a new process to standardize high school class rank and percentile. The high school percentile data (Table 4) displays high school

percentile for first-year students from 2012-2016. The percentile mean of reporting students is 86.5 (down 1% from last year).

Student Selectivity

The Selectivity Index (SI) and the Dynamic Score (new for Fall 2017 admits) are used by the Office of Admissions for selection and to help determine merit awards for the entering class. The Computed Selectivity Index (CSI) is independent of the Office of Admissions and was requested and developed by members of the Faculty Senate in 1998 as a tool to compare the academic quality of students from year to year.

The Selectivity Index

The Selectivity Index combines standardized test scores and academic performance in high school. Since this index is based on limited criteria and only considers GPA, testing, and rank where available, Admissions has developed a new scoring system that it feels represents a more holistic review of applicants. Undergraduate Admissions is currently using this new dynamic score for the newly admitted Fall 2017 class.

Dynamic Score (Replaces the Selectivity Index)

Currently, the Office of Undergraduate Admission uses an 8-point matrix (depending on the availability of class rank) to evaluate admissions applications. A calculated *Dynamic Score* tracks many elements not captured by the SI such as strength of high school, strength of curriculum, extra-curricular activities and allows the admission staff to get a better picture of the students for any segment of the applicant pool. The Dynamic Score (100-point scale, carried out three decimal points) replaces the Selectivity Index previously used (A-Z).

Currently, the admission staff created a crosswalk between the Dynamic Score and the Academic Ranks, ACRK (1-8) used for financial aid and non-need-based aid awarding to compare the 2017 applicant pool to the 2016 applicant pool (Table 5). Dynamic Score ranges by academic ranks will shift each year to allow Admissions to maintain the correct distribution of need- and non-need-based dollars.

The Computed Selectivity Index (CSI)

The Computed Selectivity Index combines standardized test scores and academic performance in high school. In 1998, Dr. Peter Bellis developed the CSI upon request of the Faculty Senate. As the Faculty Senate asked for a data-driven selectivity index that could consistently measure the quality of students across time. This index was developed with the help of faculty from the Math Department and named the Computed Selectivity Index to differentiate it from the Admission Selectivity Index used by Office of Admission. The Office of Admission does not use the CSI in the admissions process.

This Admissions Selectivity Index and the new Dynamic Score may be good for comparing the quality of students and determining merit awards in the same year. However, using the

admissions-driven selectivity index and the dynamic scores to compare students across years may be misleading because the criteria may change and shift every year.

Table 5. Fall 2016 Student Selectivity Data

ACRK*	1	2	3	4	5	6	7	8	No ACRK	Total
Selectivity Index	STUVYZ	LMNOP	J	I	H	G	D	ABC	All others	
Dynamic Score**	0 - 77.899	77.900 - 88.199	88.200- 89.349	89.350- 90.059	90.060 - 90.799	90.800- 91.549	91.550- 92.899	92.900- -100	---	
CSI***	4, 5, & 6	3	2	2	2	2	1	1	---	
Applied	8,717	6,767	1,707	1,022	931	1,115	1,212	1,561	9,486	32,518
Admitted	539	3,245	1,511	961	862	1,050	1,167	1,485	1,460	12,280
Enrolled (Full- Time)	229	676	196	120	130	141	154	156	240	2,042
% of Enrolled	11%	33%	10%	6%	6%	7%	8%	8%	12%	100%
Admit Rate	6%	48%	89%	94%	93%	94%	96%	95%	15%	38%
Yield	42%	21%	13%	12%	15%	13%	13%	11%	16%	17%

Prior to Spring 2017, *ACRK values were determined based on the Admission Selectivity Index. Effective Fall 2017, ACRK values will be determined based on Dynamic Score. Mapping is provided from ACRK to Admission Selectivity Index and Dynamic Score.

**The Dynamic Score was not used for Fall 2016 but is shown above to map the new scoring to the old selectivity index. Each year the range of scores for each academic range will change to keep constant the percentage of aid awards at each academic rank level.

***The CSI is not used by the Office of Admissions.

Academic Quality of Transfer Students

Fall Transfer Students

Transfer students are not required to submit standardized test scores, thus SAT and ATC scores for transfer students are not reported. Incoming transfer GPA is the primary determinate of academic quality for transfer students. The average incoming GPA for Fall 2016 transfer students is 3.40, a one-tenth point (3%) increase from last year (Table 6). As listed in Table 7, 87% percent of the incoming transfer class had a GPA of 3.0 or greater, 12% had a GPA between 2.50-2.99, and 1% of students had a GPA between 2.00-2.40. Transfer GPA by schools/colleges is listed in Table 8.

Table 6. Transfer Students (Full-Time Enrolled) by Transfer GPA Mean						
	2012*	2013*	2014	2015	2016**	1-yr Change
Transfer GPA Mean	3.3	3.3	3.4	3.3	3.4	0.10 point (3%)

Tables 7. Distribution of Transfer Students By Incoming GPA							
GPA Distribution	2102 % N (N)	2013 % N (N)	2014 % N (N)	2015 % N (N)	2016 % N (N)	5-yr Chg	1-yr Chg
3.50 - 4.00	46% (681)	48% (732)	55% (540)	50% (569)	51% (649)	4%	-1%
3.00 - 3.49	38% (556)	37% (569)	34% (329)	35% (400)	36% (455)	-4%	-6%
2.50 - 2.99	15% (223)	14% (217)	9% (86)	11% (128)	12% (154)	5%	-8%
2.00 - 2.49	1% (20)	1% (19)	2% (15)	3% (29)	1% (16)	25%	16%
< 2.00	0% (0)	0% (4)	1% (10)	1% (9)	--	--	--
Percent Reporting GPA	1,480	1,541	980	1,135	1,274	4%	21%

Table 8. Fall 2016 Transfer GPA Mean (Full-Time Enrolled) by School/College*										
Transfer GPA	AR	AS	BU	CO	ED	EN	MS	MU	NU	Total
GPA Mean	3.3	3.3	3.5	3.3	3.4	3.3	3.5	3.6	3.4	3.4
Percent Reporting	100%	99%	100%	100%	100%	97%	100%	100%	100%	99%

UM Rankings by *U.S. News & World Report*

Information from *U.S. News & World Report* is published during the late summer or early fall for the *previous* academic year. Thus, the 2016 edition of *U.S. News & World Report* is based on the characteristics and profile of the freshmen class entering in the Fall of 2015. UM's overall rank increased from 51 to 44 out of the 310 institutions (see Table 9). This rank is earned in seven areas, of which four are of concern to Academic Standards: Undergraduate Academic Reputation, Graduation and Retention, Student Selectivity, and Graduate Rate Performance. The other three categories, Faculty Resources (20% of total score), Financial Resources (10% of total score), and Alumni Giving (5% of total score) are not addressed in this report.

Undergraduate Academic Reputation Index (22.5 % of total score)

The University of Miami ranks 71 in Undergraduate Academic Reputation Index, up from 73 last year. The Undergraduate Academic Reputation Index combines a peer assessment score and high school counselor assessment score.

Graduation and Retention (22.5 % of total score)

The University improved in rank in the Graduation and Retention category moving from 59 to 58. The score used for ranking in this category is determined by the 6- year graduation rate and the average 4-year Freshman Retention Rate. The 6-year graduation rate for the incoming classes that started Fall 2006 through Fall 2009 is 82%, and the 4-year average Freshmen Retention rate is 92%.

Student Selectivity (12.5 % of total score)

Student Selectivity rank remained the same as the previous year at 42. This measure has three components, the admissions test scores, the proportion of enrolled first-year students who graduated in the top 10 percent of their high school classes, and the acceptance rate, or the ratio of students admitted to applicants.

Graduation Rate Performance (7.5 percent of total score)

The University's rank for Graduation Rate Performance increased 18 spots to 166 from 184. Graduation Rate Performance is the difference between the actual 6-year graduation rate for the Fall 2009 cohort and a predicted graduation rate based on a regression analysis of high school class standing, standardized test scores, financial expenditures, percent of students receiving Pell Grants, and institutional control.

Table 9. 2016 UM Rankings by U.S. News & World Report*			
U.S. News Metric*	2015-2016 Rankings	2016-2017 Rankings	Rank Change
	Fall 2014 Entering Class	Fall 2015 Entering Class	
Overall Rank (N=310)	51	44	+6
Overall score	59	63	+4
Undergraduate Academic Reputation Index Rank	73	71	+2
Graduation and Retention Rank	59	58	+1
Student Selectivity Rank	42	42	0
Graduation Rate Performance Rank	184	166	+18

Table 10: Additional U.S. News & World Report Rankings			
Individual Items	Fall 2014 Rank/(Value)	Fall 2015 Rank or Score	Rank Change/Score Change
Peer Assessment Score	(3.2)	(3.3)	(-0.01)
High School Counselor Rating	(3.9)	(3.9)	(0)
6-Year Graduation Rank/Percentage	81%	82%	+1%
Freshman Retention Ranking (4-year average)	57 (92%)	** (92%)	(0%)
% Freshmen in Top 10% of HS Class	52 66%	**63%	(-3%)
Admit Rate (Freshman Acceptance Rate)	40%	38%	(0%)

* U.S. News methodology may vary from methodologies used for official and internal reporting, which may result in different values reported for the same metric. To view the detailed rankings/methodology used for the 2015 - 2017 Editions, please visit:

<http://www.usnews.com/education/best-colleges/articles/rankings-methodologies>. Rankings from 2015 data reflect the most recent edition of U.S. News and World Report. Rankings from 2016 data will be reported in the 2017-18 edition, which is expected to be available during the Fall 2017 semester.

**Ranking not Provided

Summary/Conclusions:

- More students now report ACT scores (51%) compared to SAT scores.
- ACT scores have remained constant since 2012.
- SAT concordance scores of this year's incoming class decreased three points from last year.
- Less than 30% of students report a class rank.
- The University re-entered the top 50 in U.S. News and World Report.
- The University increased its rankings in the categories of Undergraduate Academic Reputation Index, Graduation and Retention, and Graduation Rate Performance, while maintaining the same ranking from the previous year in Student Selectivity.

Recommendations:

1. The committee recommends adding additional information to this report and future Admissions Reports. Due to the lack of comparison data from previous years, the committee could not provide an analysis of this information. Therefore, it is included as appendices. However, the committee recommends this information be included and analyzed in future reports. This recommended information includes data related to:
 - a. New transfer applicant pool by School/College (Appendix A)
 - b. Enrollment by race/ethnicity for new incoming and transfer students (Appendix B)
 - c. New student applicant pool by notification plan: Early Decision/ Early Action (Appendix C).
 - d. New students by academic level (credit hours earned upon enrollment) by School/College (Appendix D).
 - e. Admissions data for new international students by citizenship country and admissions standards for international students such as mean high school GPA mean TOEFL scores and Dynamic Scores* for International Students (Appendix E).

*Per UG Admissions, this year and going forward, they will calculate a dynamic score for international applicants. This information should also be added to future reports.
2. The committee recommends the Division of Enrollment Management provide the Academic Standards Committee (ASC) with a complete set of all admissions data to allow the ASC to examine items deemed important to the faculty. The committee recommends that data for the Admissions report be sent to the committee directly from the Office of Planning, Institutional Research, and Assessment.
3. The committee recommends Enrollment Management meet with the Academic Standards Committee in both the Fall and in the Spring semesters to update and report to the committee on all matters related to academic standards.

Appendix A

New Student and Transfer Applicant Pool by School/College

Fall 2016 New Student Applicant Pool by School/College					
School/College	Applied	Admitted	Enrolled	Admit Rate	Yield
School of Architecture	402	189	37	47%	20%
College of Arts and Sciences	14,573	5,930	883	41%	15%
School of Business Administration	7,395	2,598	477	35%	18%
School of Communication	1,946	579	111	30%	19%
School of Education & Human Development	1,007	248	55	25%	22%
College of Engineering	3,434	1,536	196	45%	13%
Rosenstiel School of Marine & Atmospheric Science	882	409	104	46%	25%
Frost School of Music	822	304	99	37%	33%
School of Nursing and Health Studies	2,057	487	80	24%	16%
Total	32,518	12,280	2,042	38%	17%

Fall 2016 Transfer Student Applicant Pool by School/College					
School/College	Applied	Admitted	Enrolled	Admit Rate	Yield
School of Architecture	48	16	7	33%	44%
College of Arts and Sciences	1,341	792	293	59%	37%
School of Business Administration	364	49	13	13%	27%
School of Communication	300	174	66	58%	38%
School of Education & Human Development	106	58	17	55%	38%
College of Engineering	233	104	29	45%	28%
Rosenstiel School of Marine & Atmospheric Science	40	10	3	25%	30%
Frost School of Music	71	18	11	25%	61%
School of Nursing and Health Studies	183	71	33	39%	46%
Total	2,686	1,292	472	48%	37%

Appendix B

Enrollment by Race/ Ethnicity for New Incoming and Transfer Students

New Students (Full-Time Enrolled) by Race/Ethnicity							
Race/Ethnicity	2012	2013	2014	2015	2016	5-yr Change	1-yr Change
African American/Black	10%	9%	9%	8%	11%	1%	3%
American Indian/Alaska Native	0%	0%	0%	0%	0%	0%	0%
Asian/Pacific Islander	14%	14%	15%	11%	13%	-1%	2%
Hispanic/Latino	20%	18%	21%	26%	20%	0%	-6%
Two or More Races	3%	3%	3%	4%	4%	1%	0%
White	53%	55%	51%	50%	53%	0%	3%
Total (excluding unknown)	1,891	1,946	1,969	1,940	1,950	3%	1%

Transfer Students (Full-Time Enrolled) by Race/Ethnicity							
Race/Ethnicity	2012	2013	2014	2015	2016	5-yr Change	1-yr Change
African American/Black	7%	9%	8%	8%	7%	0%	-1%
American Indian/Alaska Native	0%	0%	1%	0%	0%	0%	0%
Asian/Pacific Islander	7%	5%	6%	5%	8%	1%	3%
Hispanic/Latino	43%	44%	42%	41%	46%	3%	5%
Two or More Races	2%	3%	2%	3%	3%	1%	0%
White	40%	37%	41%	43%	37%	-3%	-6%
Total (excluding "Unknown")	521	546	536	494	445	-15%	-10%

Appendix C

New Student Applicant Pool by Notification Plan

Fall 2016 New Student Applicant Pool by Notification Plan*					
Notification Plan	Applied	Admitted	Enrolled (Full-Time)	Admit Rate	Yield
Early Decision I & II	1,142	336	281	29%	84%
Early Action	13,409	6,854	938	51%	14%
Regular Decision	17,967	5,090	823	28%	16%
Total	32,518	12,280	2,042	38%	17%

Source: CaneLink (Student Information System).

* Fall 2016 data reflects applicant pool as defined by Undergraduate Admission upon conversion to CaneLink. Excludes Continuing Studies.

Appendix D

New Students by Academic Level (Credit Hours Earned) and School/College

Fall 2016 New Students (Full-Time Enrolled) by Academic Level and School/College*										
Academic Level	AR	AS	BU	CO	ED	EN	MS	MU	NU	Total
Freshman (0-29 earned credit hours)	100%	92%	98%	97%	95%	91%	93%	94%	93%	94%
Sophomore (30-59 earned credit hours)	0%	7%	1%	3%	4%	8%	6%	5%	7%	5%
Junior (60-89 earned credit hours)	0%	1%	1%	1%	2%	1%	1%	1%	0%	1%
Total	37	850	482	117	56	200	116	98	86	2042

Source: CaneLink (Student Information System). School/College determined as of Fall 2016 Benchmark.

* Fall 2016 data reflects applicant pool as defined by Undergraduate Admission upon conversion to CaneLink. Excludes Continuing Studies.

Appendix E

New International Students Admissions Information

Fall 2016 New International Students** by Citizenship Country***		
Citizenship Country**	Enrolled (Full-Time)	% of Enrolled
China	92	38%
Brazil	11	5%
Kuwait	10	4%
Canada	9	4%
Venezuela	8	3%
India	6	3%
Pakistan	6	3%
Spain	6	3%
Ecuador	5	2%
Italy	5	2%
Trinidad and Tobago	5	2%
Yemen	5	2%
Argentina	4	2%
Mexico	4	2%
Switzerland	4	2%
Total ***	239	10% (of total enrollment)

**International students are identified by citizenship, as defined by IPEDS

*** Only Top 15 citizenship countries by headcount are listed, but total includes all INTL students.

- Countries not listed with 3 students: Bulgaria, Greece, Panama, Russia, and United Kingdom.
- Countries not listed with 2 students: Australia, Bahamas, Belgium, Colombia, Denmark, France, Germany, Sweden, and Turkey.
- Countries not listed with 1 student: Bangladesh, Belize, Botswana, Czech Republic, England, Macedonia, Ghana, Haiti, Jamaica, Japan, Jordan, Mauritius, Monaco, Myanmar, Netherlands, Nigeria, Norway, Paraguay, Peru, Poland, Saudi Arabia, South Korea, Taiwan (China), United Arab Emirates, Uzbekistan, and Vietnam

Fall 2016 New International by High School GPA**	
High School GPA	Enrolled (Full-Time)
Mean High School GPA	3.5
Percent of Students Reporting	98%

** GPA values converted from weighted GPA to unweighted GPA, starting Fall 2015. Effective Fall 2017, ACRK will be used to assess the quality of international applicants. ACRK is calculated based on Dynamic Score by the Office of Admission.

Fall 2016 New International Students (Full-Time Enrolled) by TOEFL Score	
TOEFL IBT Score	Enrolled (Full-Time)
Mean TOEFL IBT Score	93
0-60	1%
61-79	6%
80-99	62%
100-120	30%
Percent of Students Reporting	58%

Item B6

Outside UM campus: 5 = 421 + last 4 digits of number
 6 = 243 + last 4 digits of number
 8 = 284 + last 4 digits of number

Committee on Professional Conduct 2017-2018

[Names to be approved at a Fall 2017 Senate meeting.]

updated as of 10/2/17

Yr. Appt.	Name	School/Dept.	Address	Phone
17-18	Susan Blanton	Medicine/Human Genetics	Biomedical Research Bldg., 1501 NWS 10 Ave., Rm. 406	6-2321
17-18	Mauricio Cohen	Medicine/Cardiovascular	1400 NW 12 Ave., 2 nd Floor	6-5050
09-10	Doug Crawford	RSMAS/Marine Biology and Fisheries	280C Science & Admin Bldg, VK	5-4121
09-10	Gordon Dickinson	Medicine/Medicine	Room A1001 VA Medical Ctr., D26	305- 575-3267
13-14	June Teufel Dreyer	Arts and Sciences/ Political Science	1300 Campo Sano, 6534	8-2403
10-11	Zanita Fenton	Law	G384 Law Library, 0221	8-4275
16-17	Andrea Heuson	Business/Finance	514D Jenkins, 6552	8-1866
13-14	Anthony Hynes	RSMAS/Marine and Atmospheric Chemistry	298 Science & Admin Bldg, VK	5-4173
17-18	#Jean Francois Lejeune	Architecture	Architecture (48) 1223 Dickinson Dr., Rm 310C	8-5000
17-18	Alexander Mezincescu	Arts and Sciences/Physics	Knight Physics Bldg., 1320 Campo Sano Ave., Rm. 313	8-7125
12-13	Bruce Miller	Arts and Sciences/Theatre Arts	204 Hecht RC Com, 4820	8-4128

10-11	Robert Myerburg	Medicine/Cardiology	C401A JMH, D39	305-585-5523
10-11	Jan Paulsson	Law	G372 Law Library, 0221	8-5638
14-15	Teresa A. Scandura	Business/Management	417A Jenkins,	8-3746
10-11	Daniel Suman	RSMAS/Marine Affairs and Policy	S126 Grosvenor South, VK	5-4685
17-18	Geoff Sutcliffe	Arts and Sciences/Computer Science	Ungar Bldg., 1365 Memorial Dr., Rm 310F	8-2158
17-18	Sam Terilli	Communication/Journalism	2015 Wolfson, 2105	8-8451
15-16	William Walker	Richter Library	Rm 211 Richter Library, 0320	8-4523
15-16	Jorge Willemsen	RSMAS/OCE	22 Operations Bldg. VK	5-4199
16-17	Stephen Zdzinski	Frost Music	Patricia L Frost Studio North, room 312	8-6658

[Click here to view Standing Committee Guidelines.](#)

Faculty Manual Section B4.10 (a) The COMMITTEE ON PROFESSIONAL CONDUCT (CPC) has jurisdiction over complaints that a member of the UNIVERSITY FACULTY has committed unprofessional conduct.¹

- (b) The CPC consists of eleven to twenty members, elected annually by the Faculty Senate. Each member shall be a tenured faculty member, ordinarily with the rank of full Professor and with substantial time on the University faculty. No member shall be a member or alternate member of the Senate, nor may a member be the holder of any administrative position specified in section A7.1(f) or (g).
- (c) A complaint that a member of the UNIVERSITY FACULTY has engaged in unprofessional conduct can be brought:
- (i) either by the University, acting through the President, the Provost, the Vice Provost for Faculty Affairs, or an Academic Dean. Before the University may file a complaint under this sub-paragraph, a thorough investigation must take place; or
 - (ii) by a member of the UNIVERSITY FACULTY who does not fall within (c)(i).

¹ [#2009-28\(B\)](#)

- (d) The complaint shall be addressed to the Chair of the Faculty Senate in writing. The complaint shall include, at a minimum:
 - (i) a brief recitation of the alleged conduct and when it occurred;
 - (ii) a concise statement as to why, in the opinion of the complaining party, the conduct is unprofessional; and
 - (iii) a declaration that all attempts at informal resolution have been exhausted, or an explanation as to why, in the opinion of the complaining party, further attempts at informal resolution would be pointless.
- (e) Except as provided in paragraphs (f) or (h), upon receipt of a complaint meeting the requirements of paragraphs (c) and (d), the Chair of the Faculty Senate must appoint a hearing panel for the case as soon as it is feasible to do so.
- (f) The Chair of the Faculty Senate may not appoint a hearing panel if:
 - (i) the complaint, even if assumed to be correct in all its factual allegations, does not allege conduct that any reasonable hearing panel could construe as unprofessional; or
 - (ii) the gap between the time in which the allegedly unprofessional conduct occurred and the filing of the complaint is so great as to make the complaint untimely in terms of the availability of evidence and witnesses, prejudice to the accused, or other relevant factors.
- (g) If the complaining party disagrees with the decision of the Chair of the Faculty Senate under paragraph (f), the party may appeal the ruling to the Committee on General Welfare within 10 academic working days from the date the Chair's decision is sent to the complaining party. The Committee on General Welfare has 10 academic working days from the time of receipt of the appeal in which to render a decision. At its sole discretion, it may make the decision on the basis of written documents, or may request the complaining party and the Chair of the Faculty Senate to make oral presentations.
- (h) The Chair of the Faculty Senate has the discretion not to appoint a hearing panel if the same or substantially the same conduct is under investigation by the Committee to Investigate Misconduct in Research, by the Faculty Sexual Harassment Officer, by the Senate's Committee on Rank, Salary and Conditions of Employment, or by the Faculty Hearing Committee as these roles are specified in the *Faculty Manual*. The Chair has similar discretion if the matter is subject to an indictment or information by a State or Federal prosecutor. Any decision not to appoint a hearing panel on one of the grounds listed in this paragraph is without prejudice to the filing of a complaint after such investigations or proceedings have concluded.
- (i) The hearing panel for a particular case shall consist of three members of the CPC selected by the Chair of the Faculty Senate. To the extent feasible, the selected members of the hearing panel shall include one member who has had legal training. No member of the hearing panel may be:
 - (i) from the same department or undepartmentalized school as the accused;
 - (ii) from the same department or undepartmentalized school as the complainant in the case of a complaint filed pursuant to paragraph (c)(ii); or
 - (iii) a relative or domestic partner of the accused, of the complainant, or of an individual who holds one of the offices listed in (c)(i).

- (j) As soon as the hearing panel is constituted, the Secretary of the Faculty Senate shall forward a copy of the charges to the accused and the complainant, notifying them of the following matters in writing:
 - (i) the appointment of the hearing panel and the names of its members;
 - (ii) the requirement that the accused provide a brief reply to the charges;
 - (iii) the requirement that any communications related to the matter before the hearing panel by a party or that party's counsel with the panel, with the other party, or with any official listed in (c)(i) be made only through the Faculty Senate Office; and
 - (iv) the procedures by which the hearing panel will carry out its work.

- (k) In the case of a complaint filed by the university pursuant to paragraph (c)(i):
 - (i) The hearing panel shall hold a hearing promptly after its appointment.
 - (ii) The hearing panel must present its final report within 30 academic working days, measured from the receipt of the complaint by the hearing panel to the date a final report is sent to the President and Senate, unless the Chair of the Faculty Senate, for good cause, grants an extension of not more than 20 academic working days.
 - (iii) The accused shall have the right to represent him or herself or to be represented at the hearing by academic counsel or legal counsel. The University shall be represented by the Office of the General Counsel. However, the university may utilize outside counsel if the accused decides to be represented by legal counsel.

- (l) In the case of a complaint filed by a faculty member pursuant to paragraph (c)(ii):
 - (i) The complaint shall be investigated by one or more investigators appointed by the Chair of the Faculty Senate from the membership of the CPC. No investigator may be a member of the hearing panel for the same case, nor may an investigator be appointed who is excludable from panel membership for the same case under the provisions of paragraph (i).
 - (ii) The accused and the complainant each have the right to explain the facts and circumstances to the investigators, but without counsel present. The accused also has the right, without prejudice, to decline to speak with the investigators.
 - (iii) The investigators shall report their findings in detail to the hearing panel as quickly as a careful investigation will allow, but in any event within 30 academic working days.
 - (iv) If upon consideration of the report by the investigators, the hearing panel concludes that there appear to be sufficient facts which, if established at a hearing, make it more likely than not that unprofessional conduct has taken place, a hearing shall be held promptly.
 - (v) From the date the hearing panel receives the report of the investigators, the hearing panel has 20 academic working days to conduct the hearing and present its report to the President and Senate, unless the Chair of the Faculty Senate, for good cause, grants an extension of not more than 20 academic working days.
 - (vi) The accused and the complainant shall have the right to be represented at the hearing by academic counsel or legal counsel. The Office of the General Counsel may participate at its discretion.

- (m) In any hearing pursuant to this section:

- (i) A hearing panel member or investigator has a duty to recuse him or herself if there would be, or there would appear to be a conflict of interest or any reason why the panel member could not be neutral and impartial. The accused shall have the right to request that the Chair of the Faculty Senate remove a panel member for good cause shown.
 - (ii) The accused has a right to waive the hearing, in which case the decision of the hearing panel shall be based on the information already available to the hearing panel. The accused also has the right, without prejudice, to decline to speak during the hearing.
 - (iii) The accused may plead guilty to one or more of the charges, in which case, the hearing will then be held concerning the remaining charges, if any.
 - (iv) The panel may, at its discretion, require the filing of briefs, memoranda or other documents by the parties before or during the hearing, and may issue orders governing the conduct of the hearing and the panel's processes.
 - (v) The complainant, the accused, and the General Counsel's office shall have the right to make opening and closing statements; to examine all briefs, and other documents presented to the hearing panel; and to present, examine, and cross examine witnesses.
 - (vi) The accused has the right, during or after the hearing but before the panel concludes its report, to make an oral statement or to file a written statement to the hearing panel explaining matters in mitigation.
- (n) If the hearing panel has reason to believe that an individual acting as complainant or witness has knowingly introduced evidence that has been fabricated or has knowingly given false testimony, the panel may recommend:
- (i) in the case of a faculty member, that the Chair of the Faculty Senate bring a charge of unprofessional conduct against the faculty member; or
 - (ii) in the case of an employee who is not a faculty member, that the Chair of the Faculty Senate bring the matter to the attention of one or more appropriate administrators.
- (o) In the event that the hearing panel concludes that no unprofessional conduct has taken place, or that only a trivial or technical violation has taken place, it shall prepare a report to the Chair of the Faculty Senate briefly explaining its conclusions. At its discretion, the hearing panel may comment on matters it believes should be rectified or reformed, and may request that these comments be forwarded to the Senate, the President, and/or other appropriate administrative officials. The Chair of the Faculty Senate shall then dismiss the case and make a report to the Committee on General Welfare, taking care to protect privacy and confidentiality.
- (p) If the hearing panel determines that unprofessional conduct has taken place, and that the conduct was not merely a trivial or technical violation, it shall prepare a report to the Chair of the Faculty Senate and to the President. It shall recommend one or more of the following as sanctions:
- (i) *Censure by the Senate.* If the Senate concurs with the recommendation of censure, it shall decide on the text of the censure resolution, on the means and extent of publication of the resolution, on whether the censure resolution shall be made a part of the faculty member's personnel records, and on whether the member shall be barred or suspended from service on the Senate.

- (ii) *Dismissal*, in accordance with the procedures specified in section C15 of the *Faculty Manual*.
 - (iii) *Sanctions by the President other than dismissal*. The President may take one or more of the following actions: restrictions on pay increases for a period of years; a one-time reduction in pay not to exceed 10% of base pay; required counseling or training; loss of rights to have graduate Research Assistants or Teaching Assistants; and/or termination of appointment to an Administrative position. The President is not required to impose the sanctions recommended by the hearing panel, but shall explain in writing to the Senate the reasons for imposing sanctions that differ from the hearing panel's recommended sanction(s).
- (q) Nothing in this section shall be interpreted to preclude a mutually satisfactory settlement between the complainant and the accused (for a case filed under paragraph (c)(ii)). A mutually satisfactory settlement may also be reached between the university and the accused (for a case filed under (c)(i)), provided, that such a settlement may not adversely affect the interests of third parties. Any settlement must be reached prior to the time the hearing committee files its report to the Senate. If a settlement is reached, the Chair of the Faculty Senate shall dismiss the case.
- (r) The Senate shall adopt and publish written procedures as Class D legislation for²:
- (i) Filing and processing complaints;
 - (ii) Appointment of investigators and hearing panel members;
 - (iii) The conduct of hearings; and
 - (iv) Consideration of censure and related matters by the Senate.

In the event of a conflict between those procedures and this section, this section shall prevail.

² [#2011-23\(D\)](#) – procedures

Outside UM campus: 5 = 421 + last 4 digits of number
 6 = 243 + last 4 digits of number
 8 = 284 + last 4 digits of number

Faculty Senate Hearing Panel 2017-2018
 [Names to be approved at a Fall 2017 Senate meeting.]
 # list amended 9/28/17

Yr. Appt.	Name	School/Dept.	Address	Phone
15-16	Ronny Aboudi	Business/Management Science	407 Bernie Kosar/Epstein, 6531	8-1966
17-18	Herman Beck	Arts and Sciences/History	1252 Memorial Drive, Ashe	8-5947
04-05	Nanette Bishopric	Medicine/Molecular and Cellular Pharmacology	6026, RMSB, R- 189	6-6775
13-14	Caroline Bradley	Law	G381 Law Library, 0221	8-2082
10-11	Rocco Ceo	Architecture	305E Architecture, 5010	8-2269
16-17	Joseph De Santis	Nursing and Health Studies	432F Schwartz Center, 3850	8-5039
10-11	Shirley Dennis	Business/Accounting	311 Bernie Kosar/ Epstein, 6531	8-5577
15-16	Mary Anne Franks	Law	Rm. G385, Law Library G, 0221	8-5345
11-12	Anthony Hynes	RSMAS/Marine and Atmospheric Chemistry	298 Science & Adm. Bldg., VK	5-4173
09-10	Laura Kohn Wood	Education/Ed. and Psychological Studies	319 B Merrick, 2040	8-1316
13-14	Lili Levi	Law	G471 Law Library, 0221	8-2289

11-12	Marie Guerda Nicolas	Education/Education and Psychological Studies	310 Merrick Building, 2040	8-9124
10-11	Amie Nielsen	Arts and Sciences/ Sociology	121C Merrick, 2030	8-6158
17-18	Thomas Robinson	Law	Meyer Law Library, 1311 Miller Drive, G286	8-2495
10-11	Charlotte Rogers	Arts and Sciences/ English	417 Ashe, 4632	8-4071
08-09	Roland Schein	Medicine/Pulmonary and Critical Care	Room A805 VA, D26	305-575-3227
06-07	Don Stacks (Sabbatical 17-18)	Communication/Strategic Communication	3007 Wolfson, 2105	8-2495
05-06	Seth Thaller	Medicine/Surgery	410E Clinical Research Bldg., R88	6-4500
13-14	Warren Whisenant	Education/Kinesiology & Sport Sciences	317.05 Merrick, 2040	8-5622
17-18	#Jorge F. Willemsen	RSMAS/Ocean Sciences	4600 Rickenbacker Causeway, Operations 22	305-421-4199

[Click here to view Standing Committee Guidelines.](#)

Faculty Manual Section C15.9 Faculty Hearing Committee

If the faculty member responds, a faculty Hearing Committee consisting of five members and one alternate shall be selected as follows. The Committee shall be drawn from a standing panel of twenty tenured faculty members elected each year in a manner determined by the Faculty Senate and approved by the President. Members of this panel should be chosen on the basis of their objectivity and competence and of the regard in which they are held in the academic community. No member of the Committee shall be a member of the Faculty Senate.¹The President shall instruct the appropriate deans and chairs to schedule the classes and other duties of these twenty faculty members so as to allow for daily sessions. The functioning Hearing Committee shall be selected as described below from and by those panel members not disqualifying themselves on the basis of prejudice in respect to the particular case. The President shall send notice to the faculty

¹ [#95001\(B\)](#)

member and to the twenty members of the standing panel of the time and place of the selection meeting and direct them to be present. At the selection meeting, the members of the standing panel shall elect a chair *pro tempore* from their ranks. The chair *pro tempore* shall conduct the Committee selection as follows:

(a) Those wishing to disqualify themselves on the basis of prejudice shall be given an opportunity to do so.

(b) The remaining members of the panel shall agree among themselves, outside the presence of the parties, the numerical order in which they shall be eligible for selection and the parties shall then be advised of the order of eligibility.

(c) The first five members of the panel shall preliminarily assume the regular seats of the Hearing Committee and the sixth member shall be the preliminary alternate.

(d) The parties shall be entitled to question each member of the preliminary Hearing Committee regarding fitness to serve on the Committee and may then request, one member at a time, that members of the Committee be excused for cause. Such requests shall be decided, one at a time, by a majority of the preliminary Hearing Committee. The member being challenged shall not vote. The decision shall be based upon the ability of the challenged member to fairly determine the issues. If a member or alternate member is excused, such a member shall be replaced by the next eligible member of the panel before the selection process proceeds.

(e) Each party shall be entitled to two² peremptory challenges exercisable at any time during the selection process.

(f) If the panel is exhausted before five Committee members and one alternate are selected, those persons who have been provisionally selected shall choose from the tenured faculty additional persons for the panel who shall assign an order of eligibility and the selection process shall continue as before.

(g) The selection process shall continue from day to day, until it is completed. When completed, the five regular members of the Committee shall elect a chair who shall preside and be responsible for keeping a chronological file of all documents filed with the Hearing Committee.

(h) When the Hearing Committee is convened the Chair of the Faculty Senate shall arrange for the members of the Committee to be briefed on the nature of the proceedings³.

² [#95001\(B\)](#)

³ [#95001\(B\)](#)